

f^2 scaling of the PTA signals, induced gravitational waves, and primordial black holes

Takahiro Terada

(Particle Theory and Cosmology group, Center for Theoretical Physics of the Universe, Institute for Basic Science)

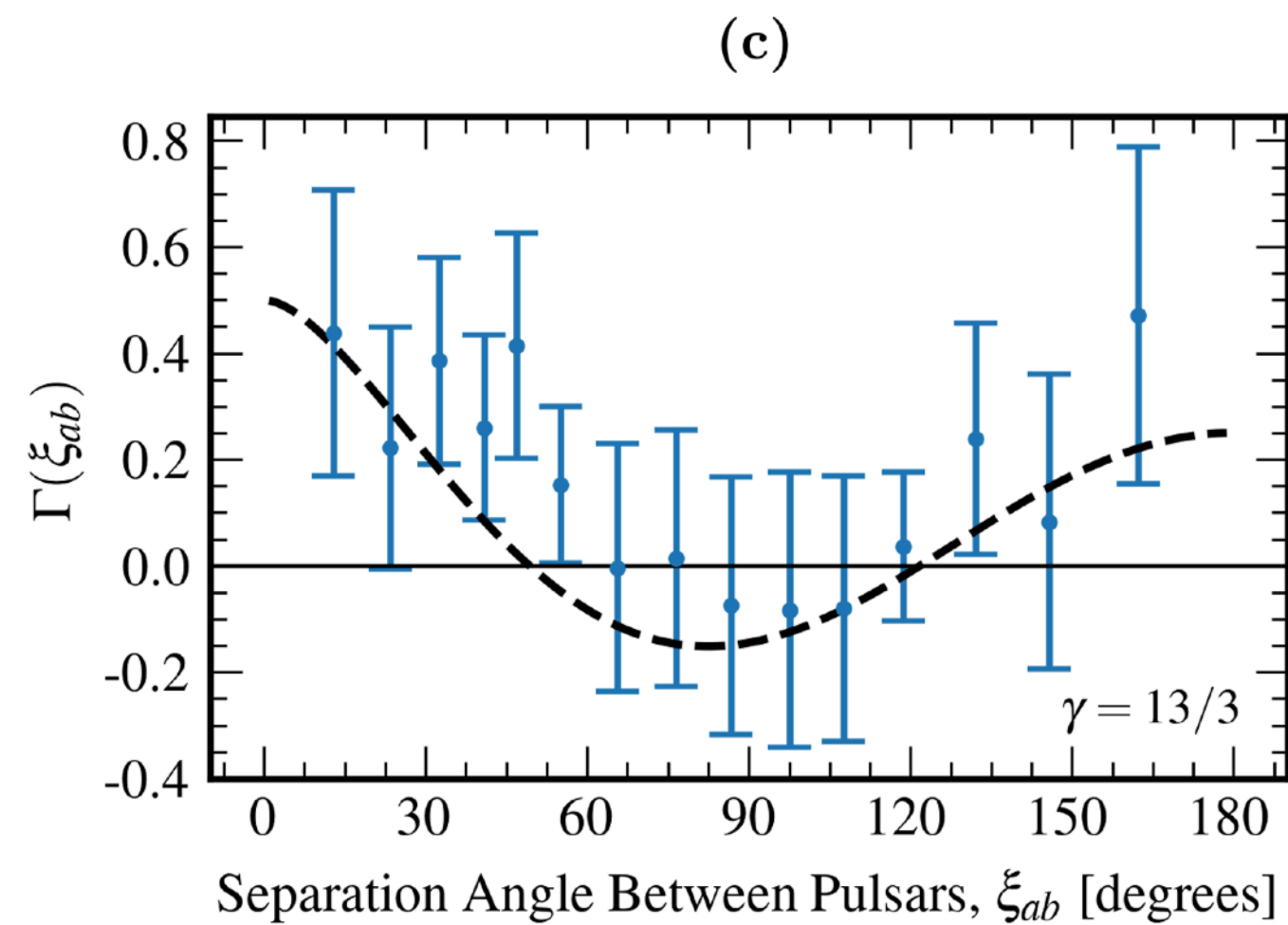
Collaborators: **Keisuke Harigaya**, **Keisuke Inomata**, and **Kazunori Kohri**

Based on [Inomata, Kohri, Terada, **2306.17834**] and [Harigaya, Inomata, Terada, **2309.00228**]

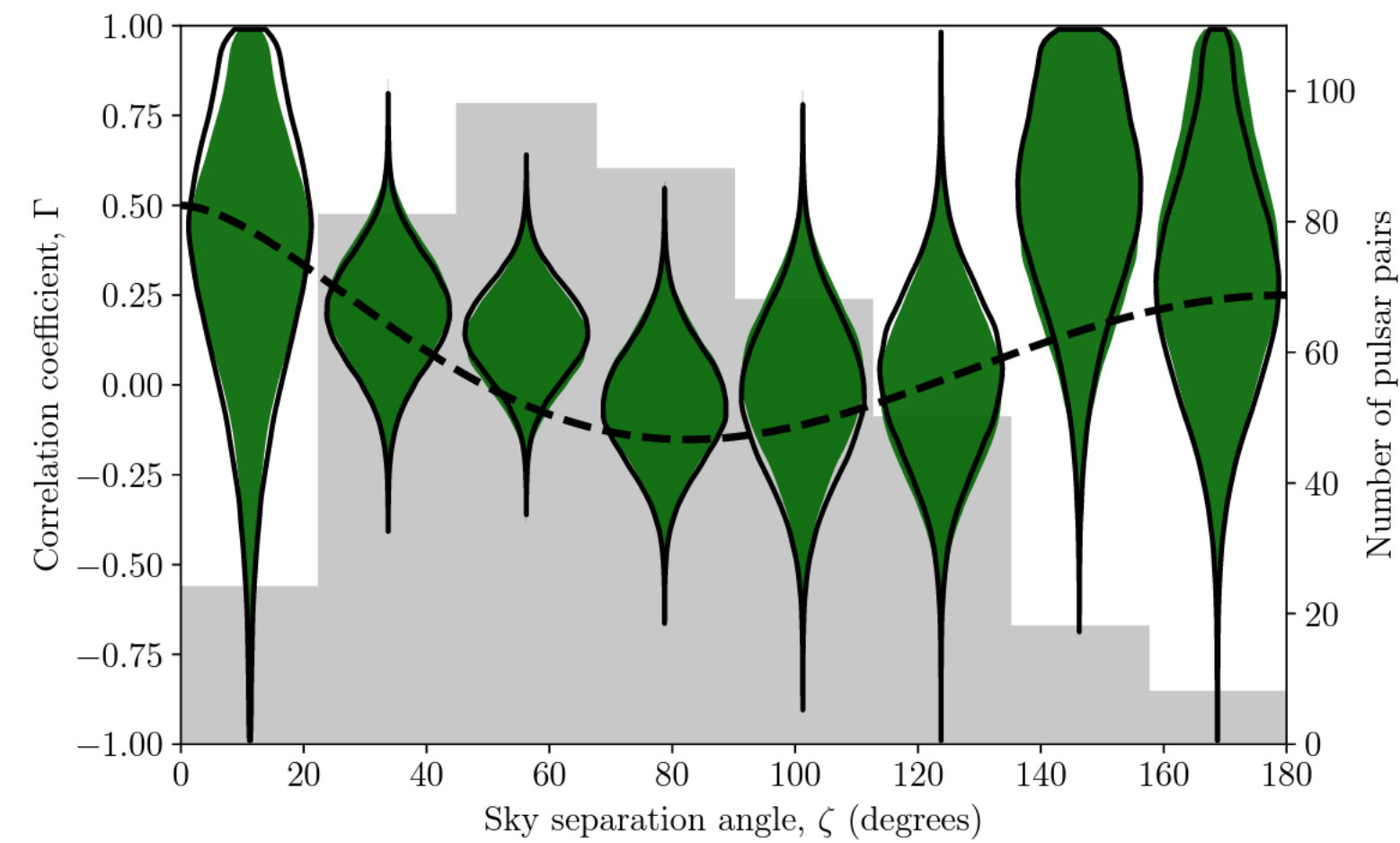
Pulsar Timing Array results

Hellings-Downs Curve

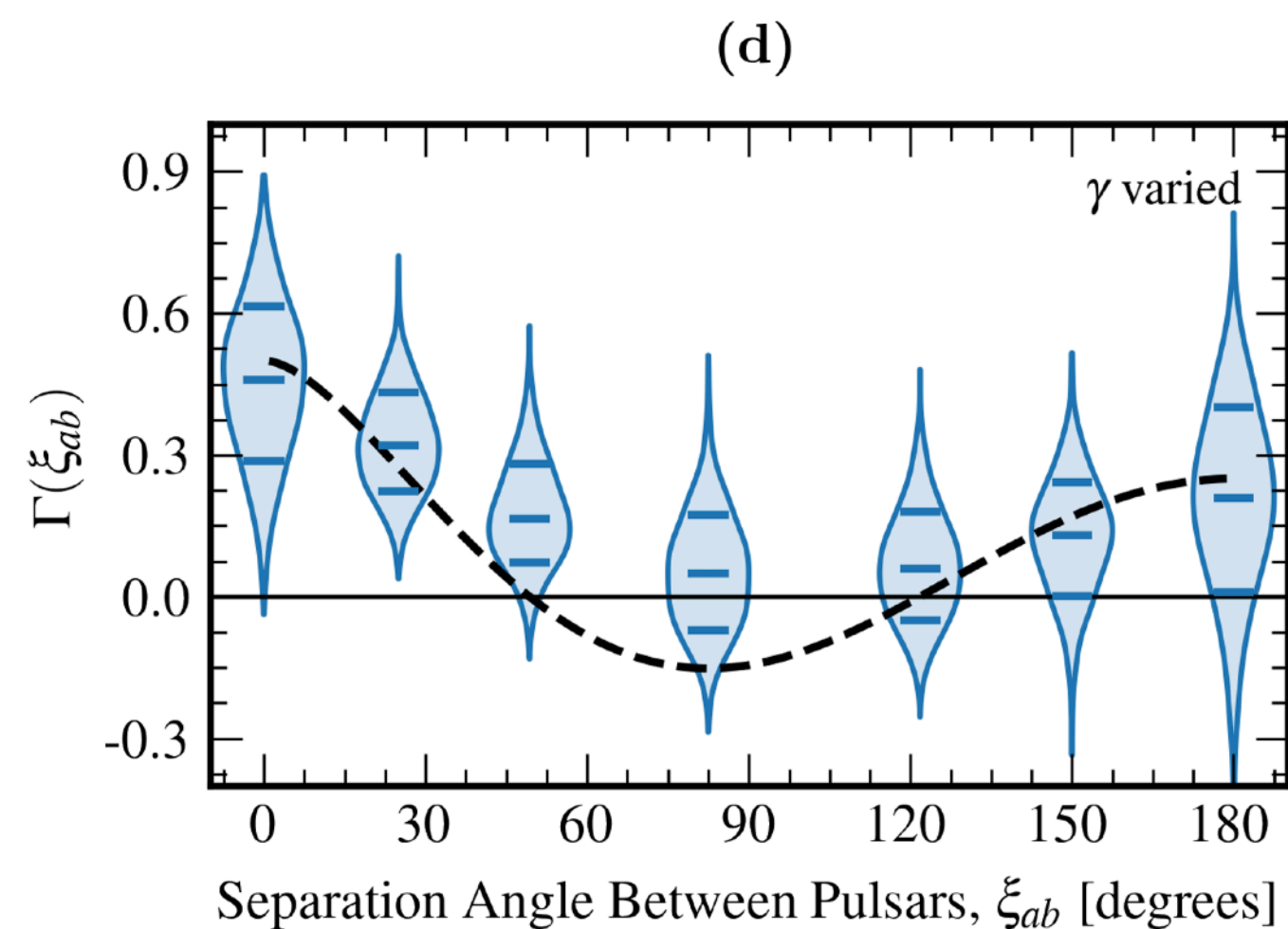
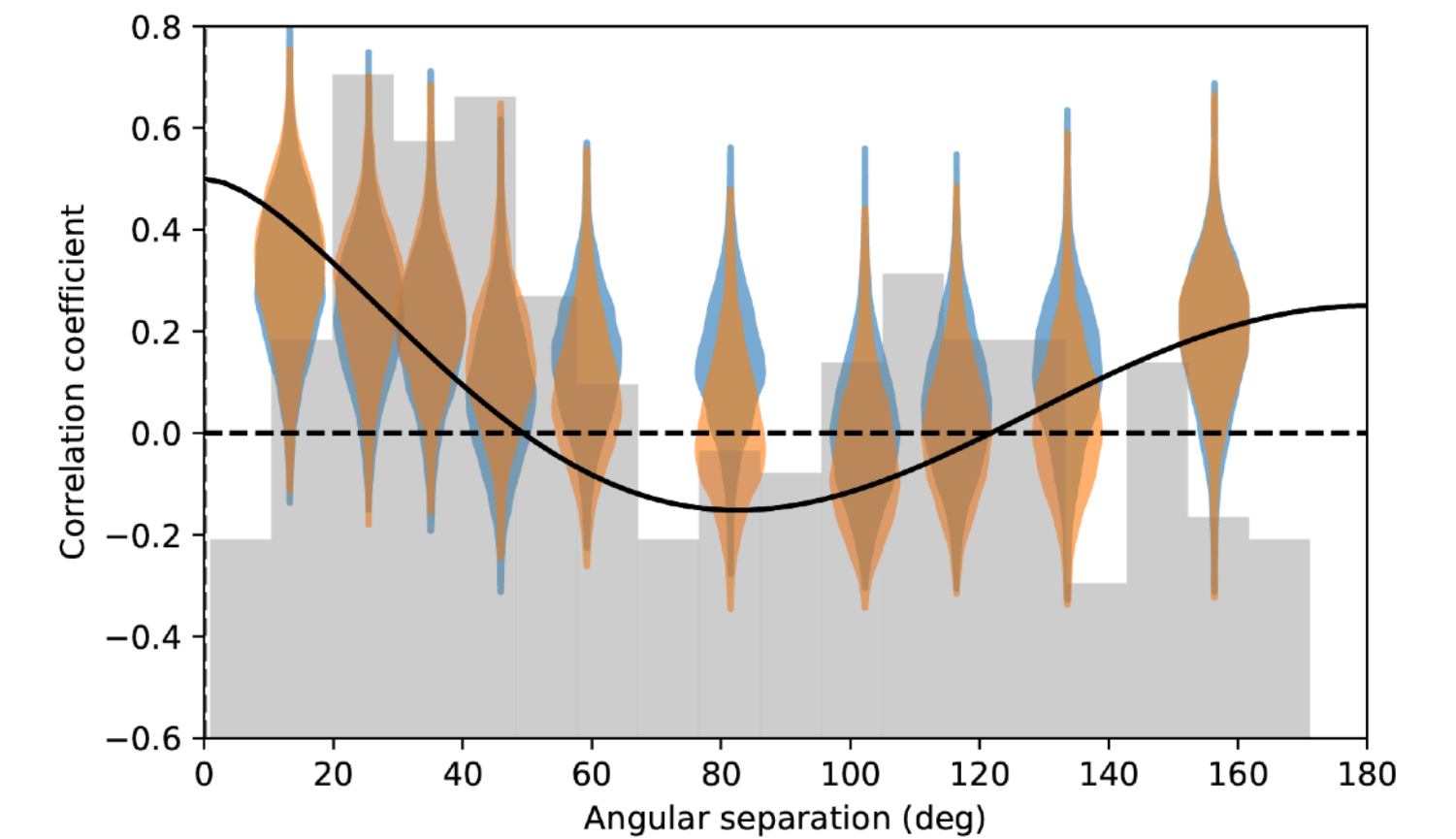
[NANOGrav, 2306.16213]



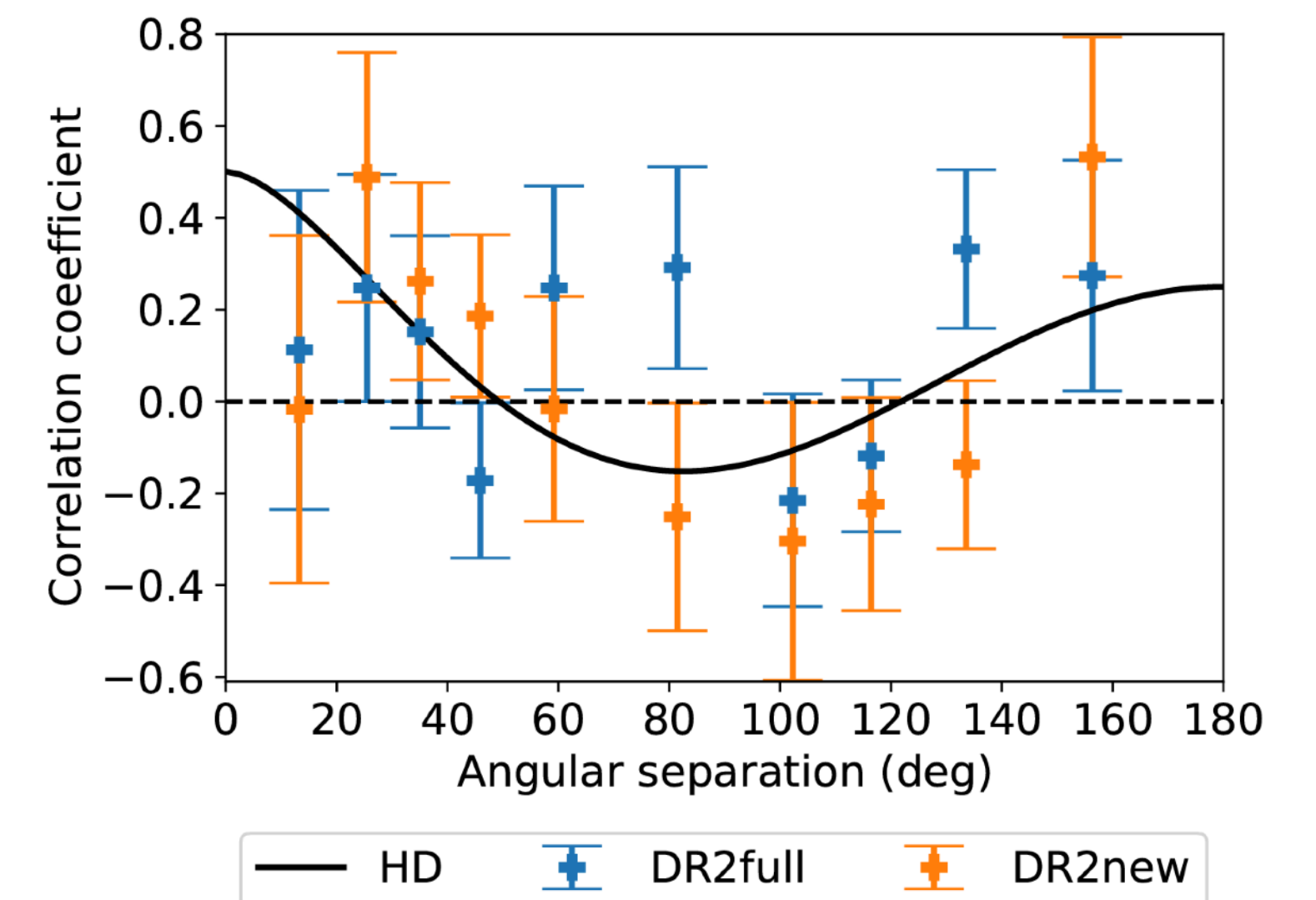
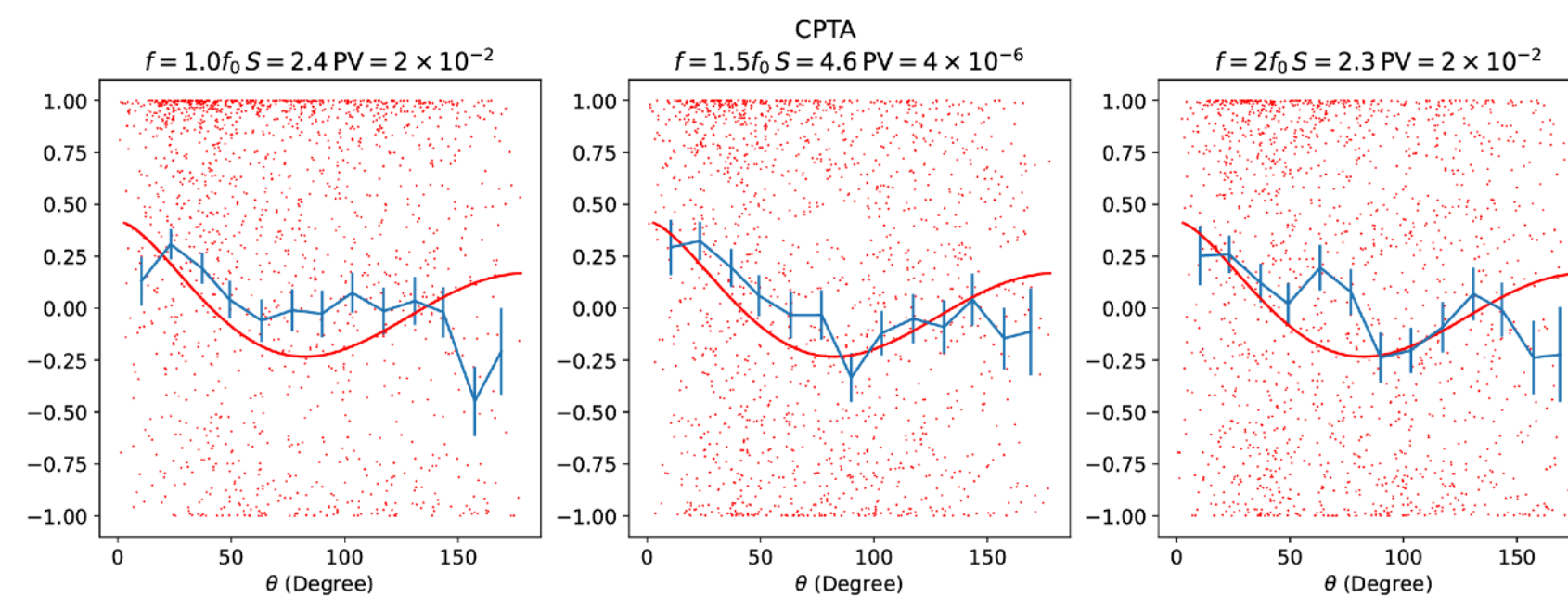
[PPTA, 2306.16215]



[EPTA/InPTA, 2306.16214]



[CPTA, 2306.16216]



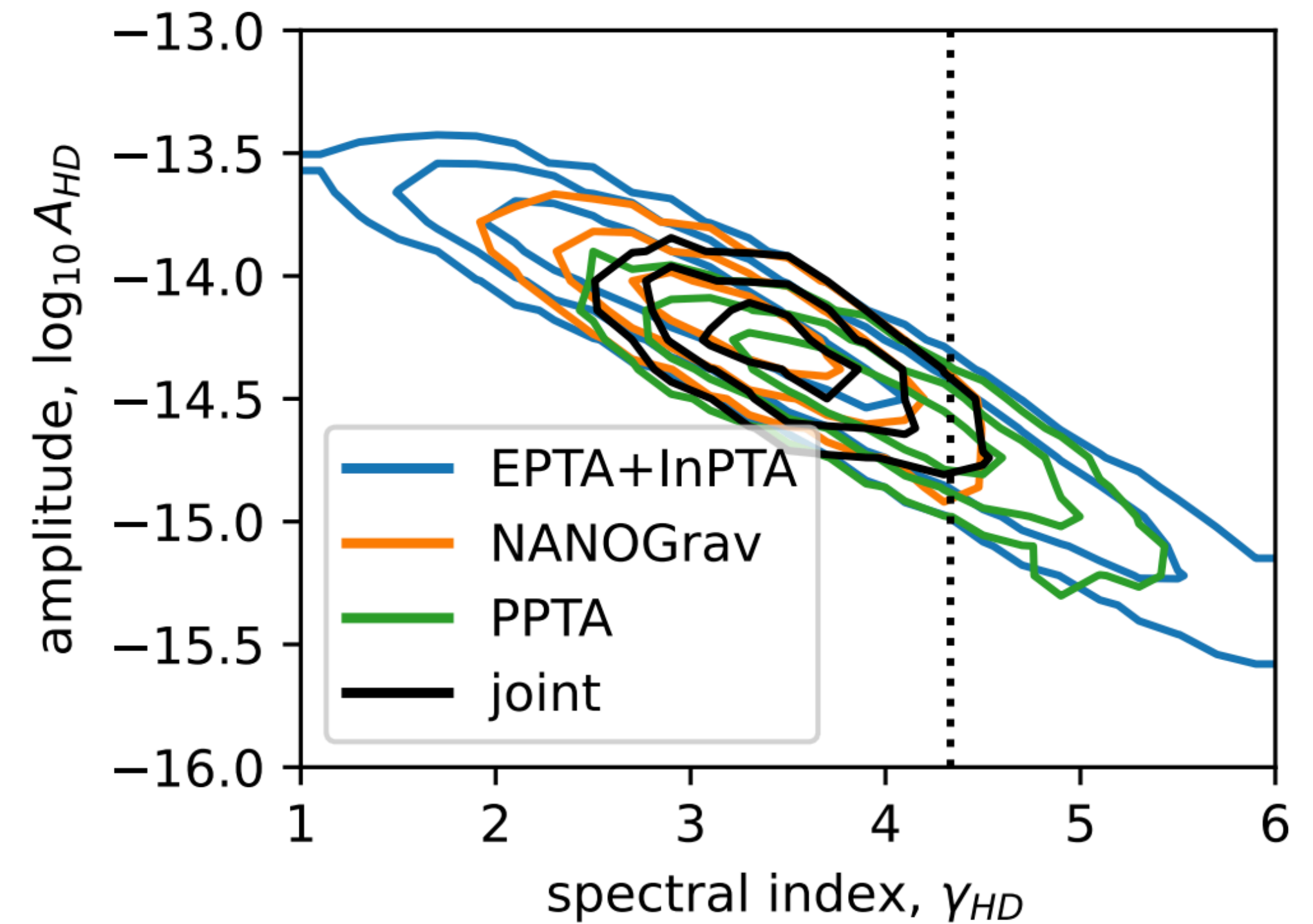
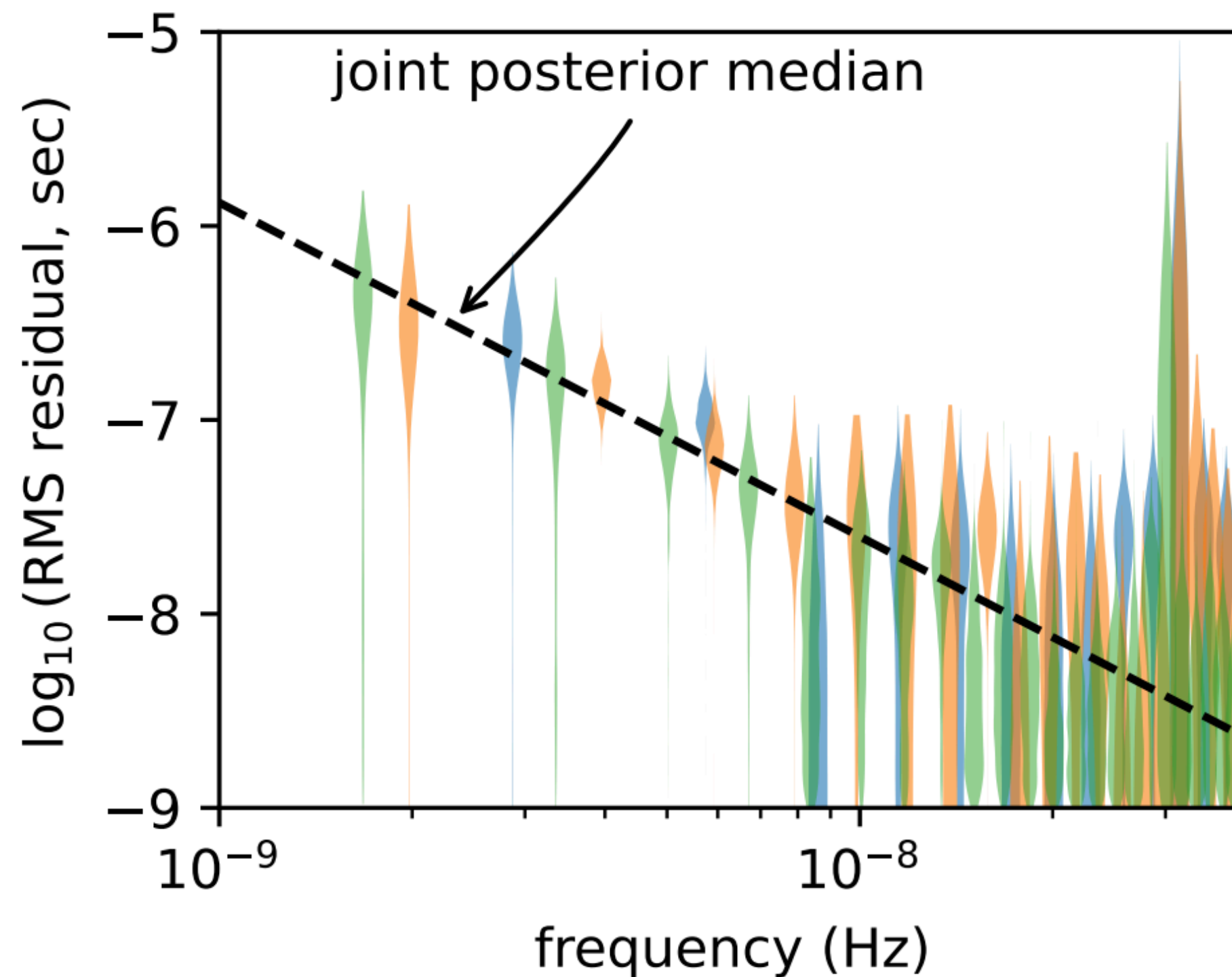
Gravitational-Wave Spectrum

$$\Omega_{\text{GW}}(f) = \frac{2\pi^2 f_*^2}{3H_0^2} A_{\text{GWB}}^2 \left(\frac{f}{f_*} \right)^{5-\gamma}$$

$5 - \gamma = 1.8 \pm 0.6$ (90% credible region)

[NANOGrav, 2306.16213]

[IPTA, 2309.00693]

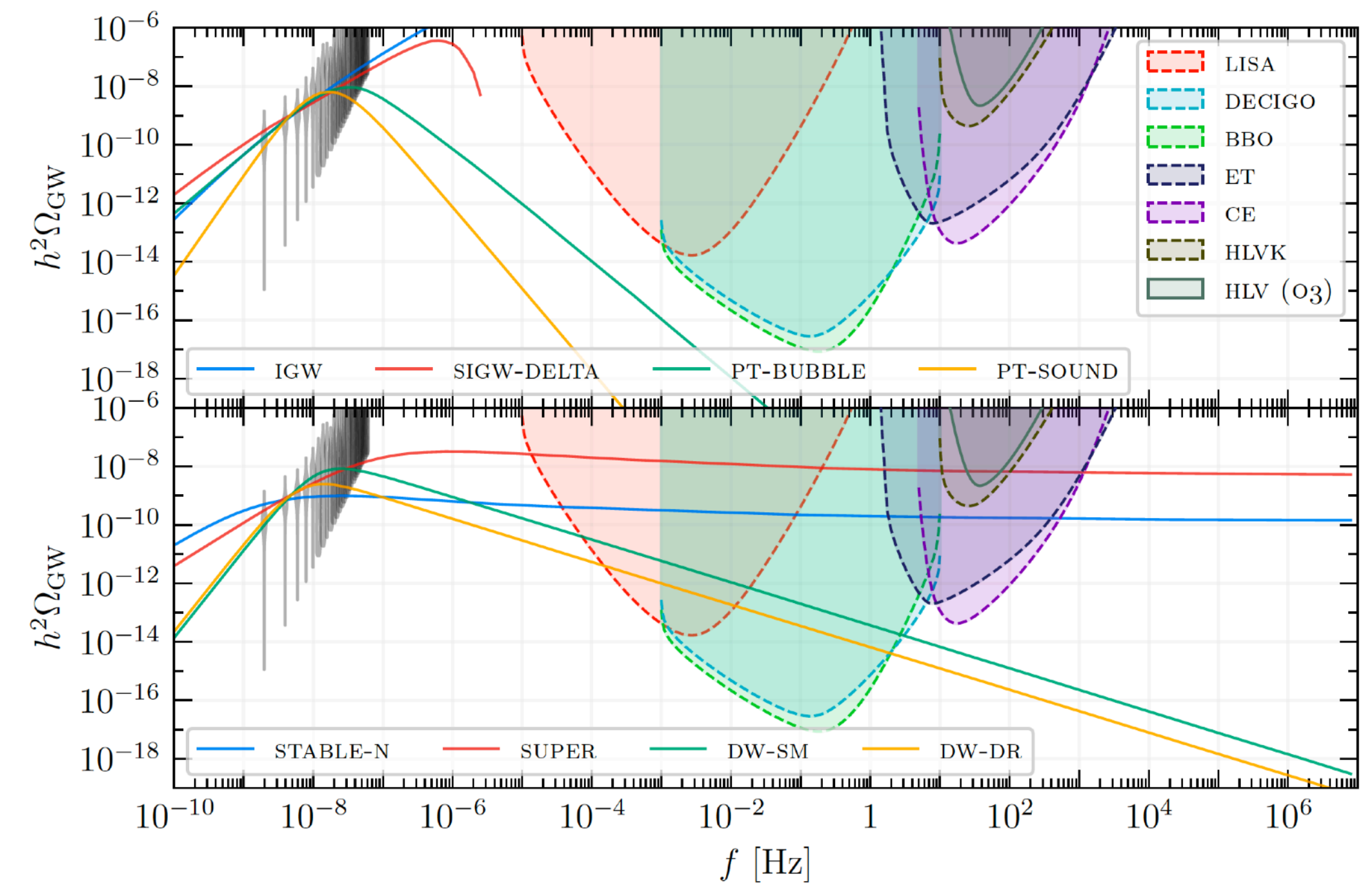
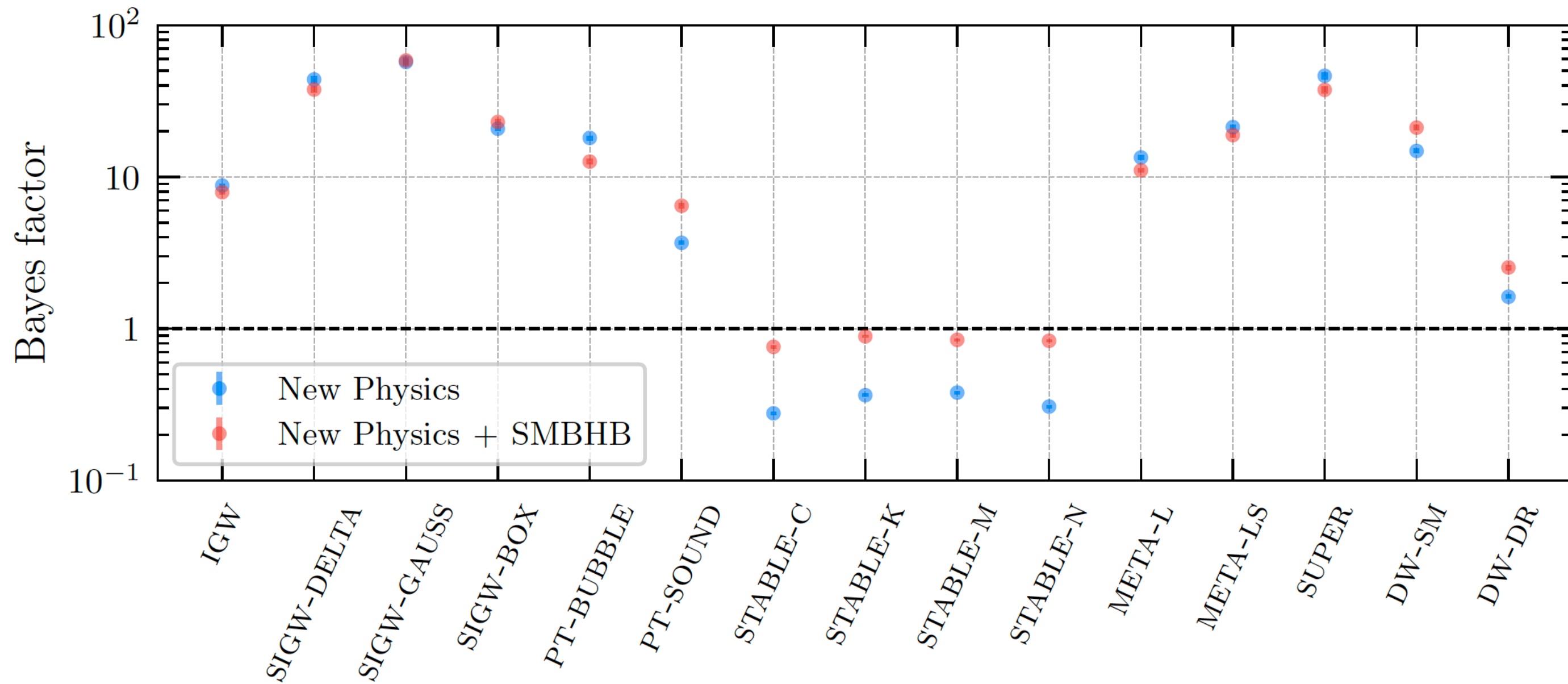


PTA, Induced GW, and PBH

New Physics Interpretations

[NANOGrav, 2306.16219]

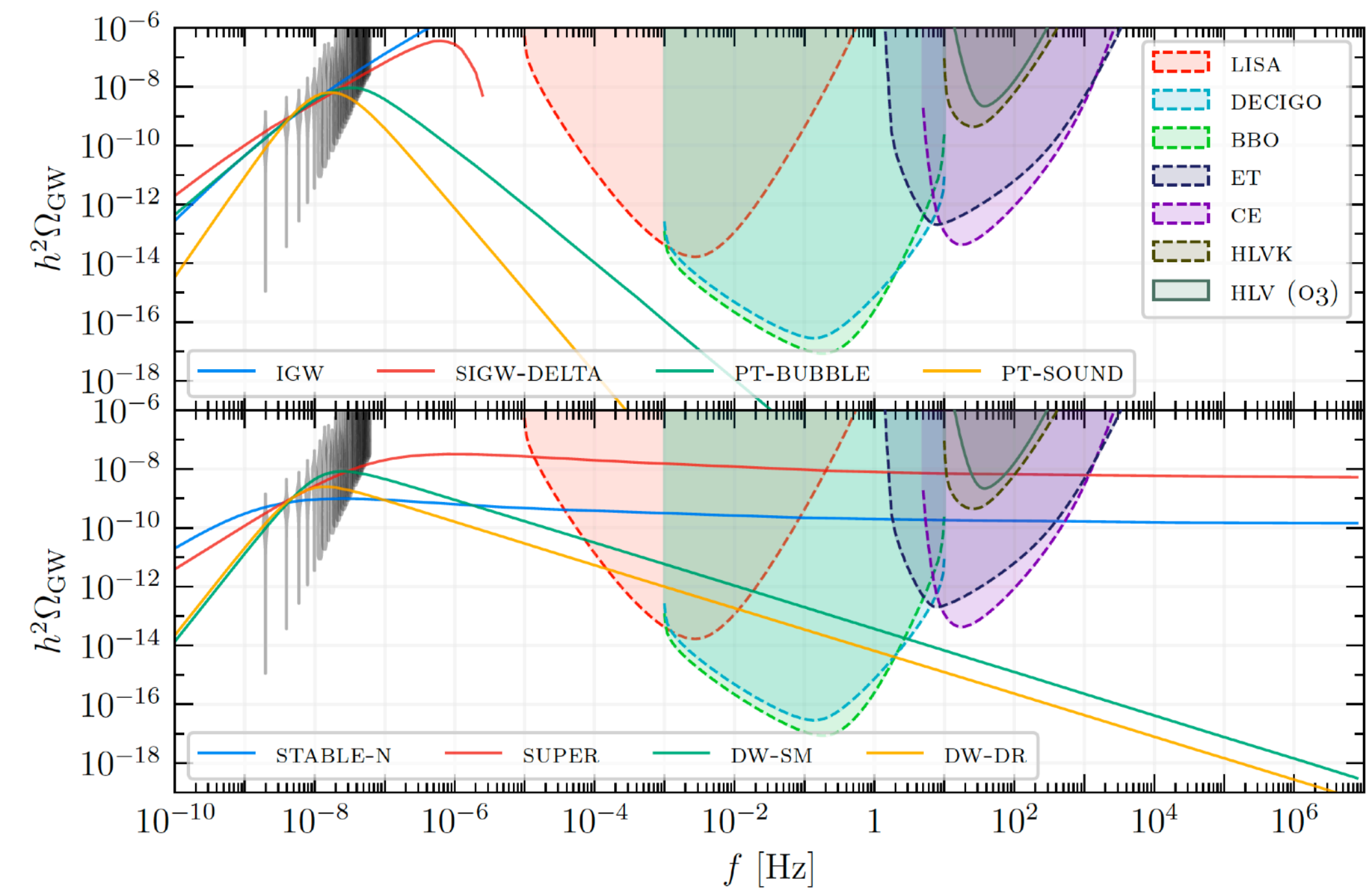
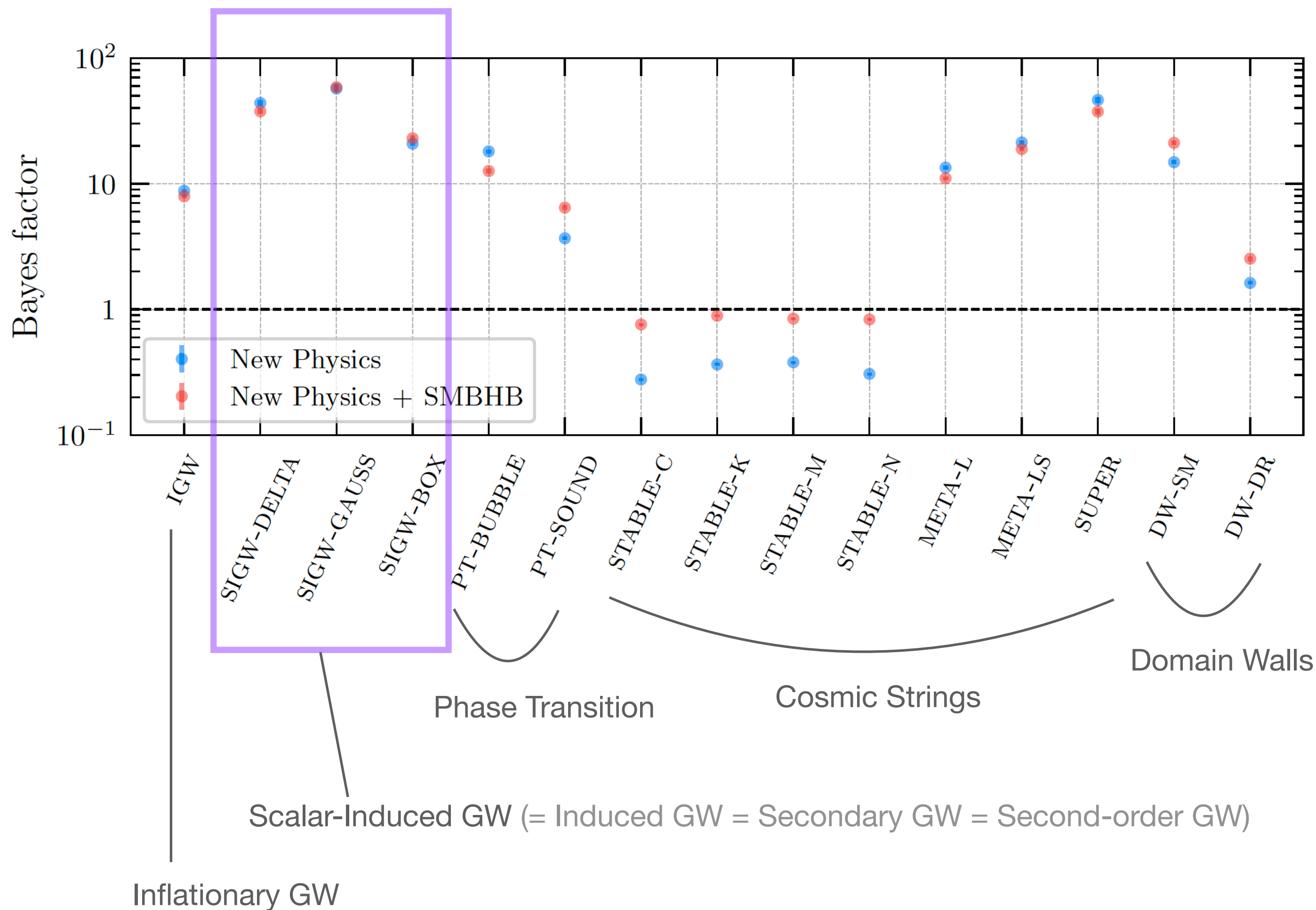
See also [EPTA/InPTA, 2306.16227], [Bian et al., 2307.02376], [Figueroa, 2307.02399], and [Ellis et al, 2308.08546].



New Physics Interpretations

[NANOGrav, 2306.16219]

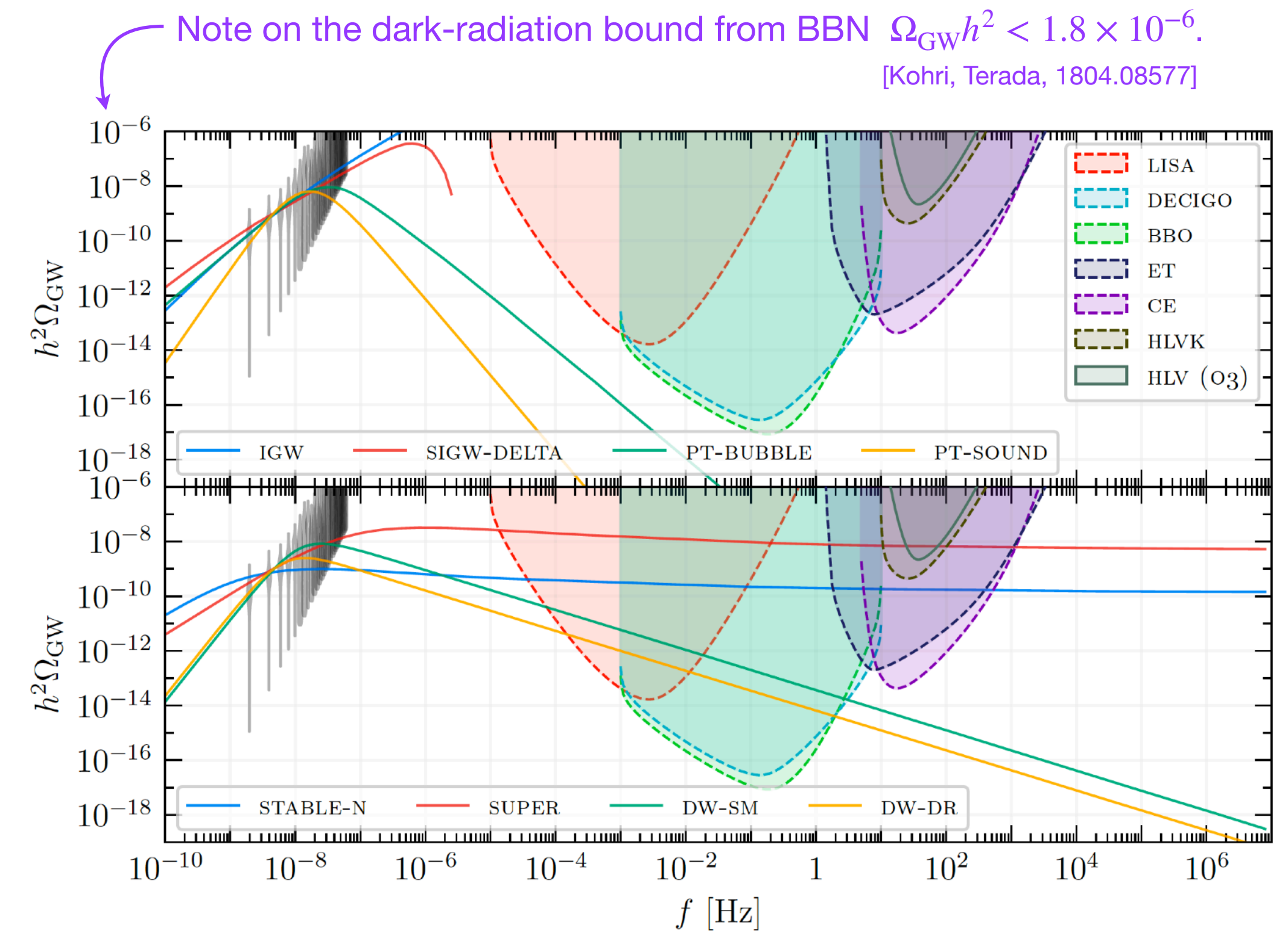
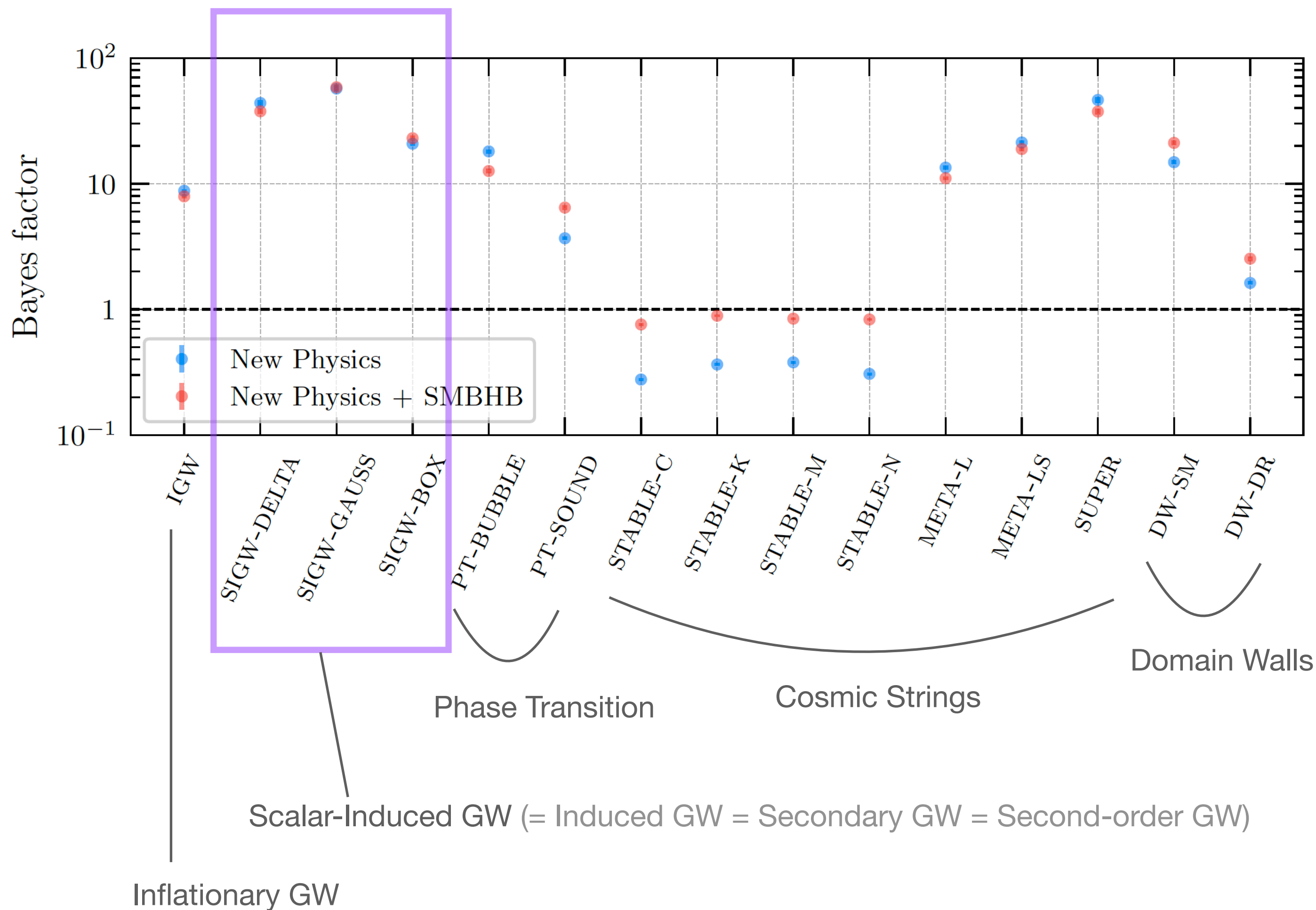
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Scalar-Induced Gravitational Waves

[Ananda, Clarkson, Wands, gr-qc/0612013], [Baumann, Steinhardt, Takahashi, Ichiki, hep-th/0703290] For reviews, see [Yuan, Huang, 2103.04739], [Domènech, 2109.01398].

What are they?

Gravitational waves induced by (primordial) curvature perturbations via (derivative) interactions in General Relativity.

$$ds^2 = -a^2(1 + 2\Phi)d\eta^2 + a^2 \left((1 - 2\Psi)\delta_{ij} + \frac{1}{2}h_{ij} \right) dx^i dx^j$$

↑
↑
↑

Gravitational potential
Curvature perturbations
GW (tensor mode)

(In the absence of anisotropic stress, $\Phi = \Psi$.)

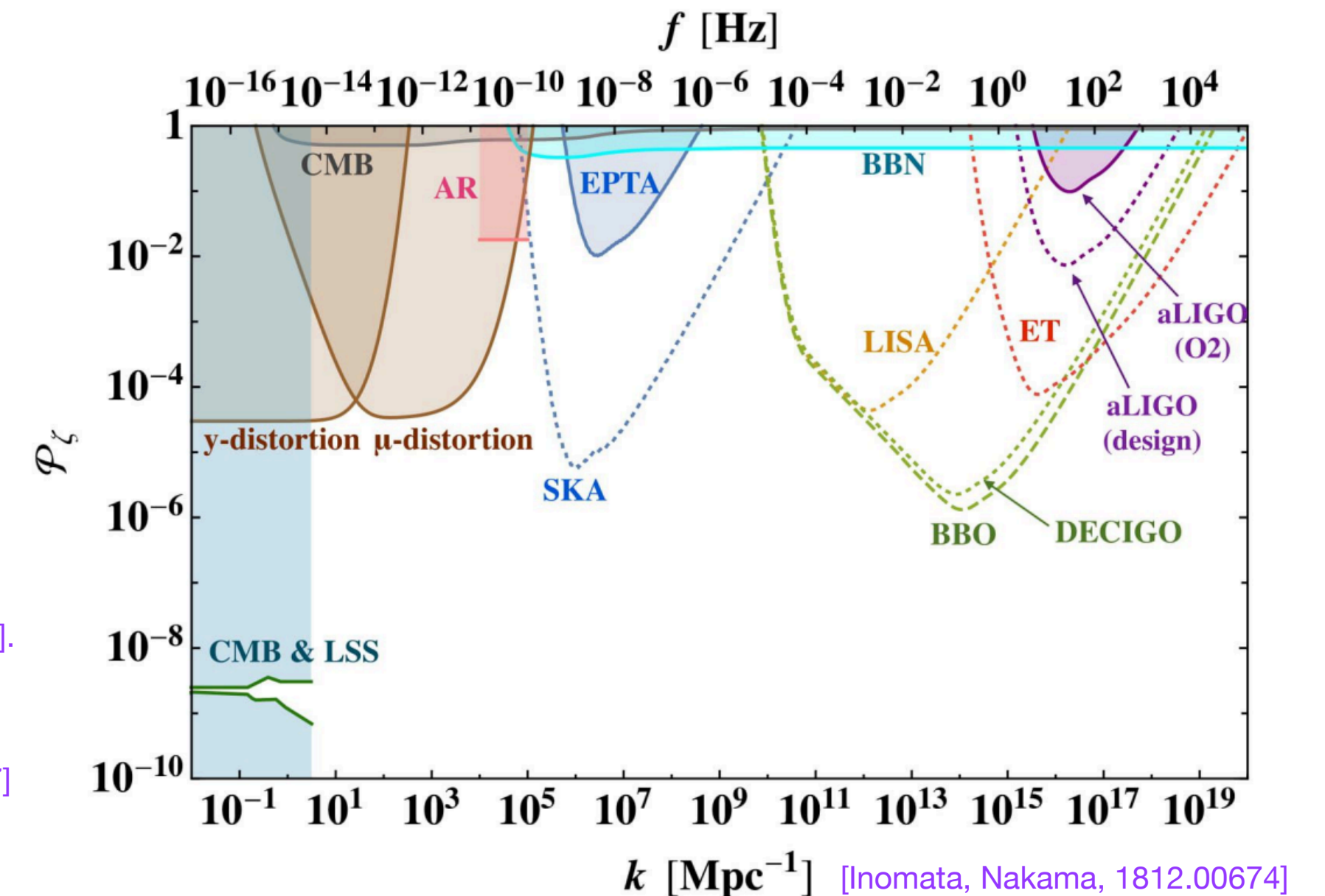
Equation of motion $h_{\mathbf{k}}''(\eta) + 2\mathcal{H}h_{\mathbf{k}}'(\eta) + k^2h_{\mathbf{k}}(\eta) = 4S_{\mathbf{k}}(\eta)$

where $\mathcal{H} = aH$ is the conformal Hubble, and the source term is

$$S_{\mathbf{k}} = \int \frac{d^3q}{(2\pi)^{3/2}} e_{ij}(\mathbf{k}) q_i q_j \left(2\Phi_{\mathbf{q}}\Phi_{\mathbf{k}-\mathbf{q}} + \frac{4}{3(1+w)} (\mathcal{H}^{-1}\Phi'_{\mathbf{q}} + \Phi_{\mathbf{q}})(\mathcal{H}^{-1}\Phi'_{\mathbf{k}-\mathbf{q}} + \Phi_{\mathbf{k}-\mathbf{q}}) \right)$$

Why important?

- They give us some information on **small-scale cosmological perturbations** and the **underlying inflation model**.
- They give us some hints on the **equation of state** and **reheating dynamics** of the early Universe. See, e.g., [Domènech, 1912.05583], [Inomata, Kohri, Nakama, Terada, 1904.12878; 1904.12879].
- There is also a strong connection to the **primordial-black-hole** scenario. [Saito, Yokoyama, 0812.4339; 0912.5317]
- They can fit the **nHz SGWB** found by PTAs!



Scalar-Induced Gravitational Waves

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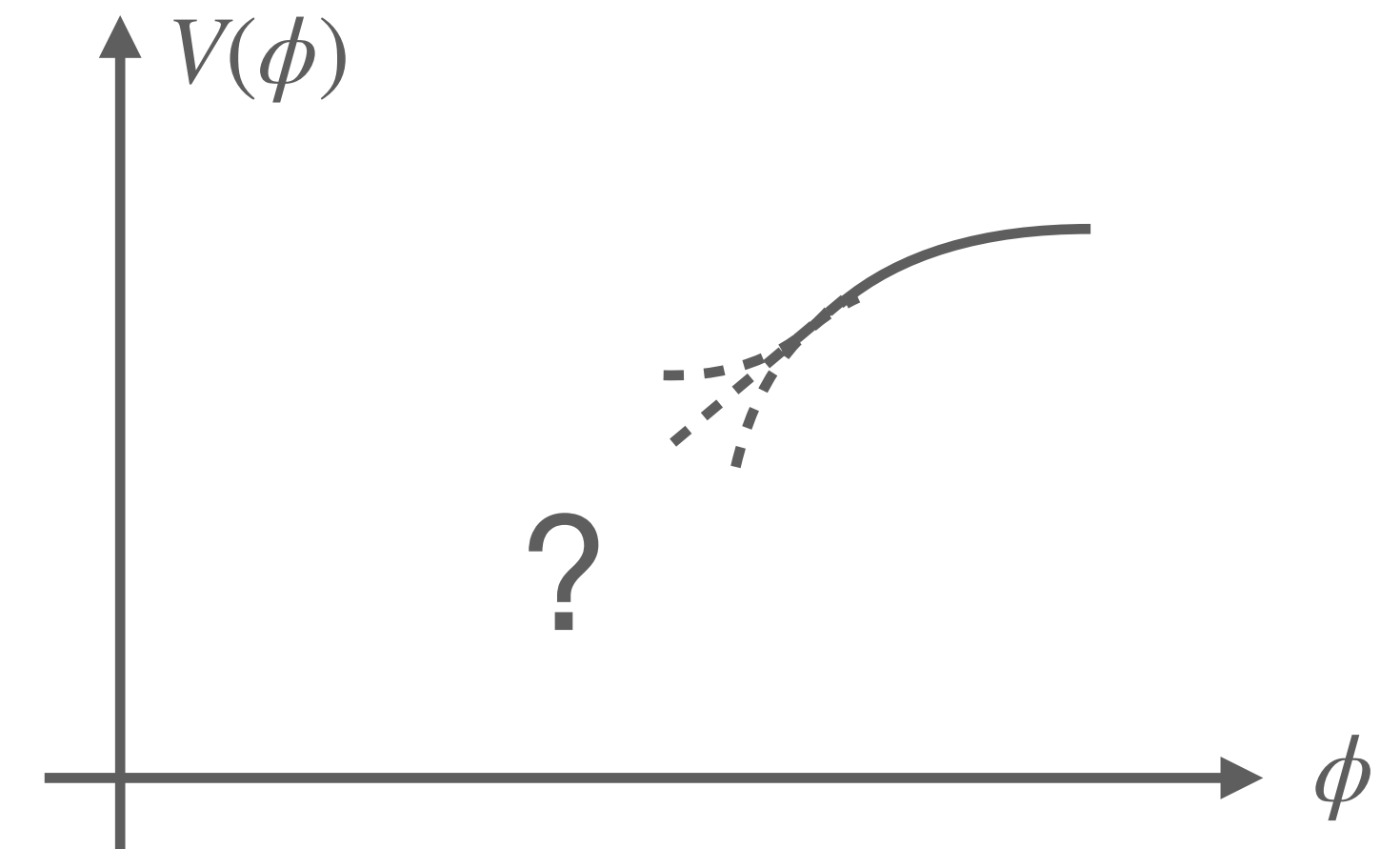
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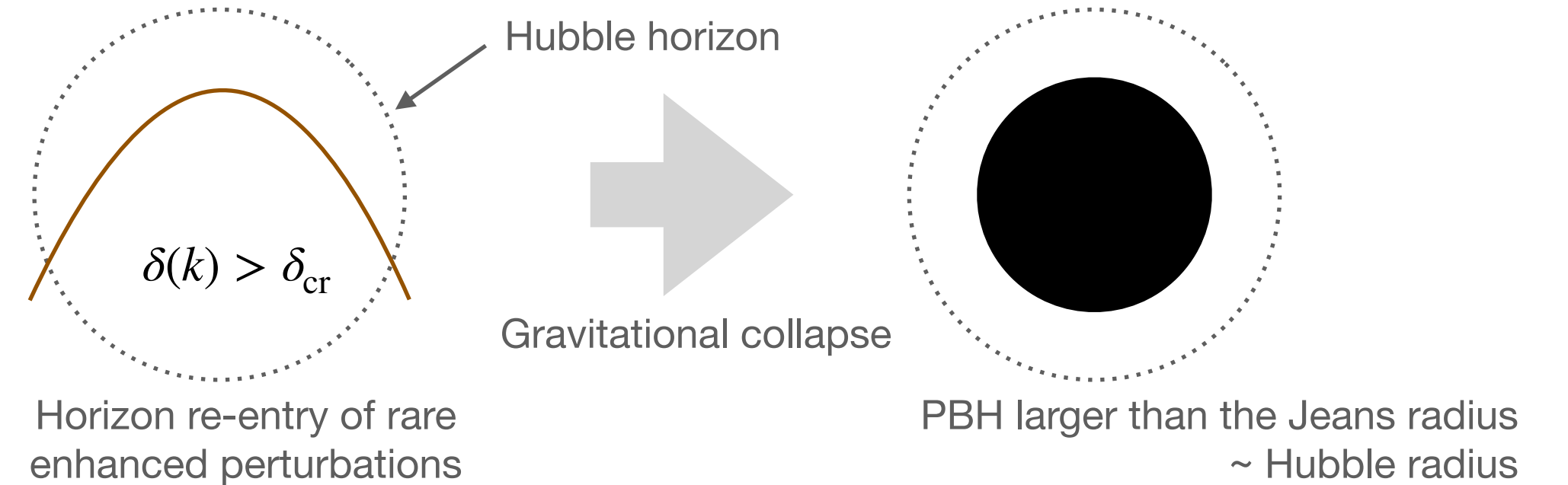
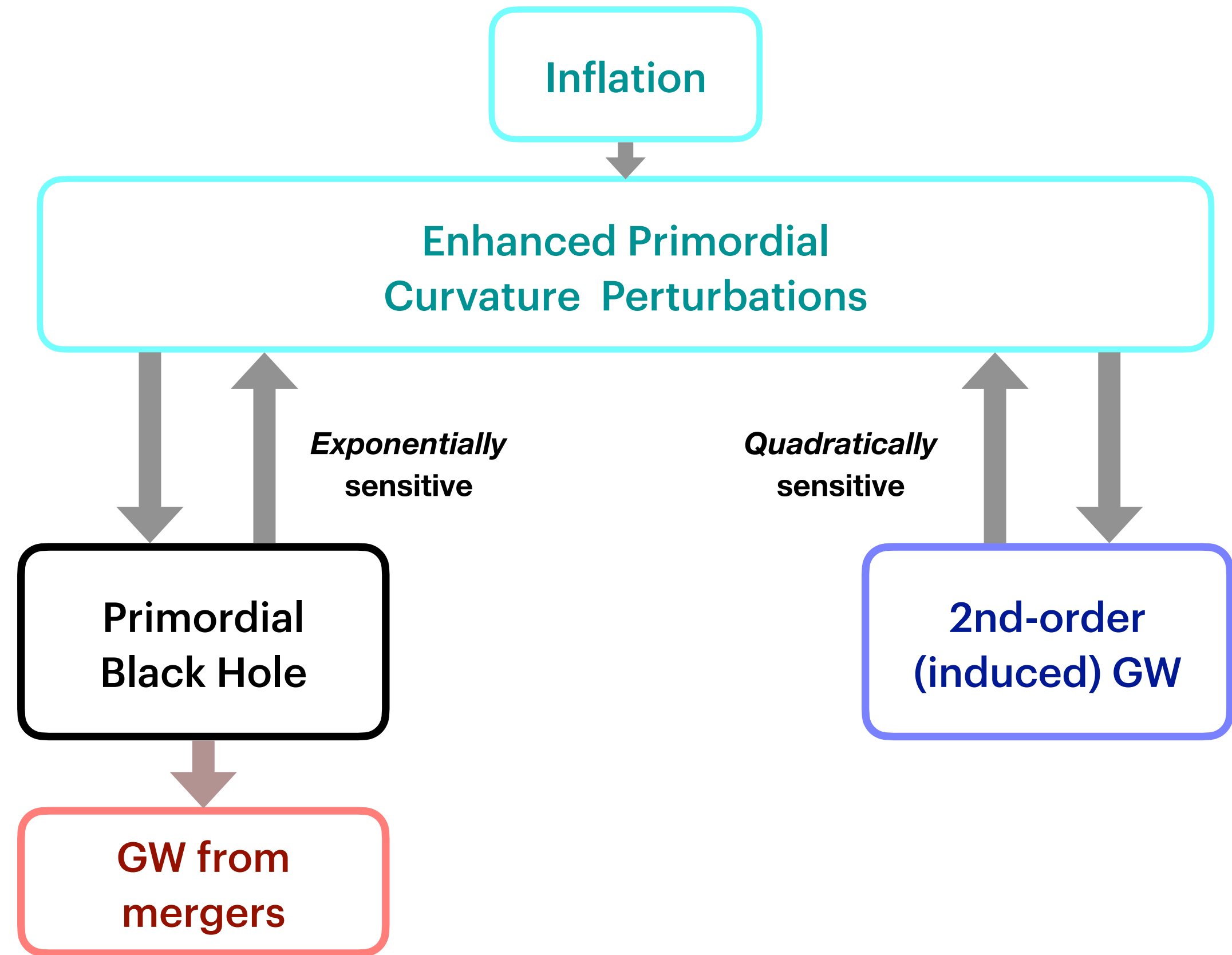
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[Inomata, Nakama, 1812.00674]

Relation to Primordial Black Holes



Previous studies

[Saito, Yokoyama, 0812.4339; 0912.5317]

[Bugaev, Klimai, 0908.0664]

[Chen, Yuan, Huang, 1910.12239]

...

After NANOGrav-12.5

[Vaskonen, Veermäe, 2009.07832]

[De Luca, Franciolini, Riotto, 2009.08268]

[Kohri, Terada, 2009.11853]

[Zhou, Jiang, Cai, Sasaki, Pi, 2010.03537]

[Domènech, Pi, 2010.03976]

[Inomata, Kawasaki, Mukaida, Yanagida, 2011.01270]

[Dandoy, Domcke, Rompineve, 2302.07901]

...

After June 29

(including works related not to induced GWs but to PBHs)

[Guo, Khlopov, Liu, Wu, Wu, Zhu, 2306.17022]

[Franciolini, Iovino, Vaskonen, Veermäe, 2306.17149]

[Cai, He, Ma, Yan, Yuan, 2306.17822]

[Depta, Schmidt-Hoberg, Tasillo, 2306.17836]

[Inomata, Kohri, Terada, 2306.17834]

[Gouttenoire, Vitagliano, 2306.17841]

[Huang, Cai, Jiang, Zhang, Piao, 2306.17577]

[Wang, Zhao, Li, Zhu, 2307.00572]

[Liu, Chen, Huang, 2307.01102]

[Gouttenoire, Trifinopoulos, Valogiannis, Vanvlasselaer, 2307.01457]

[Jhurani, Gunhal, 2307.02677]

[Unal, Papageorgiou, Obata, 2307.02322]

[Figueroa, Pieroni, Ricciardone, Simakachorn, 2307.02399]

[Zhu, Zhao, Wang, 2307.03095]

[Firouzjahi, Talebian, 2307.03164]

[Gow, Miranda, Nurmi, 2307.03078]

$$\begin{aligned}
 M &= 6.1 \times 10^{-4} M_{\odot} \left(\frac{\gamma}{0.2} \right) \left(\frac{g_*(T)}{80} \right)^{-1/6} \left(\frac{6.5 \times 10^7 \text{ Mpc}^{-1}}{k} \right)^2 \\
 &= 6.1 \times 10^{-4} M_{\odot} \left(\frac{\gamma}{0.2} \right) \left(\frac{g_*(T)}{80} \right)^{-1/6} \left(\frac{1.0 \times 10^{-7} \text{ Hz}}{f} \right)^2
 \end{aligned}$$

f^2 spectrum from Induced-GW

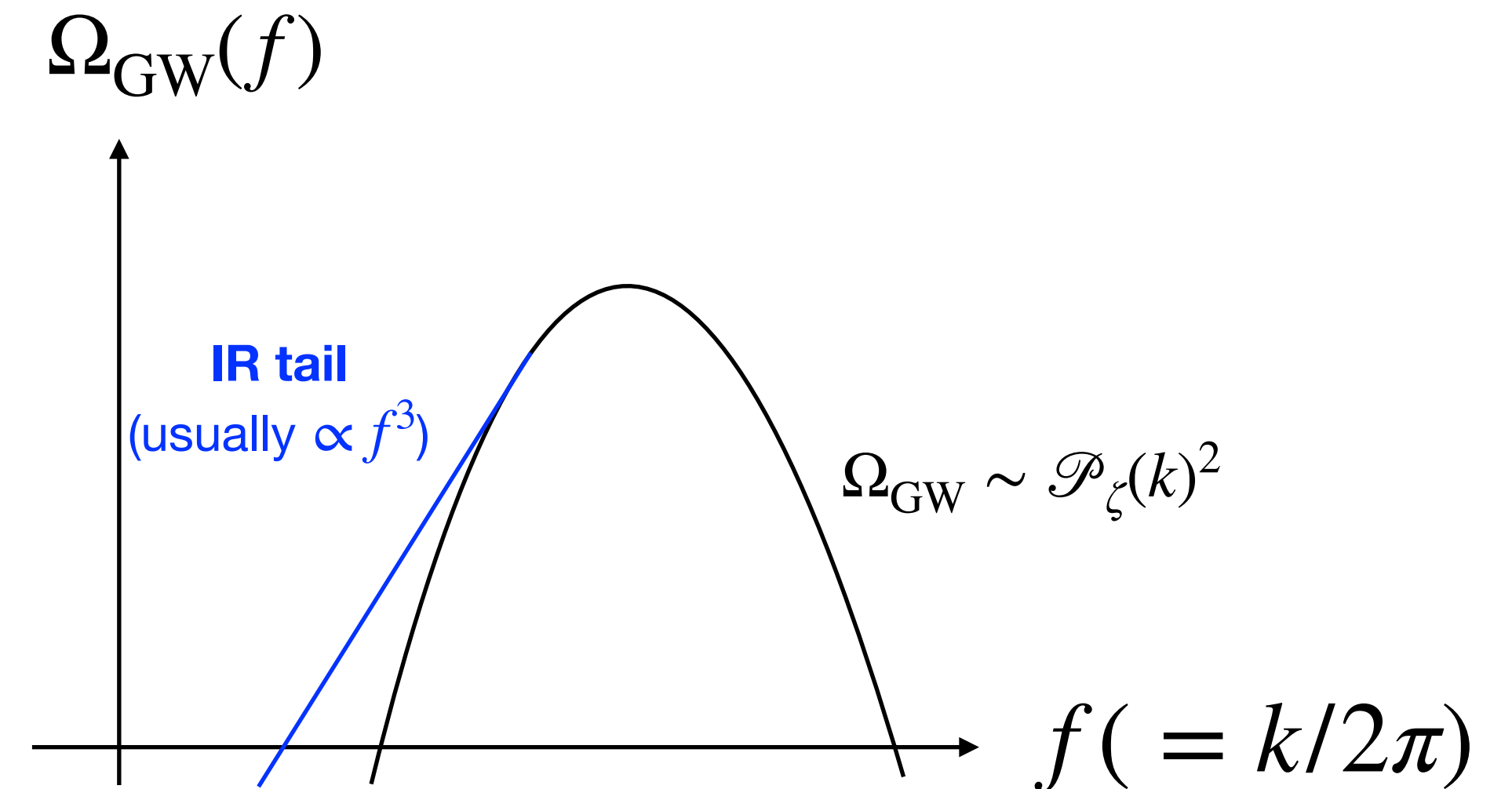
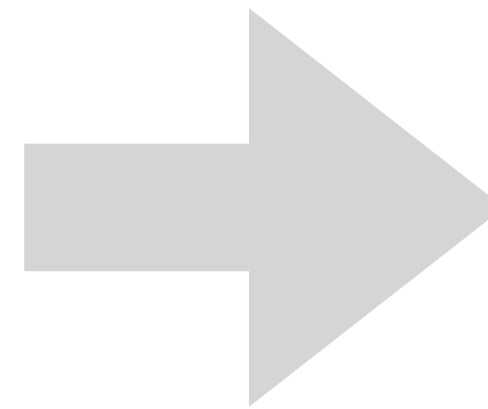
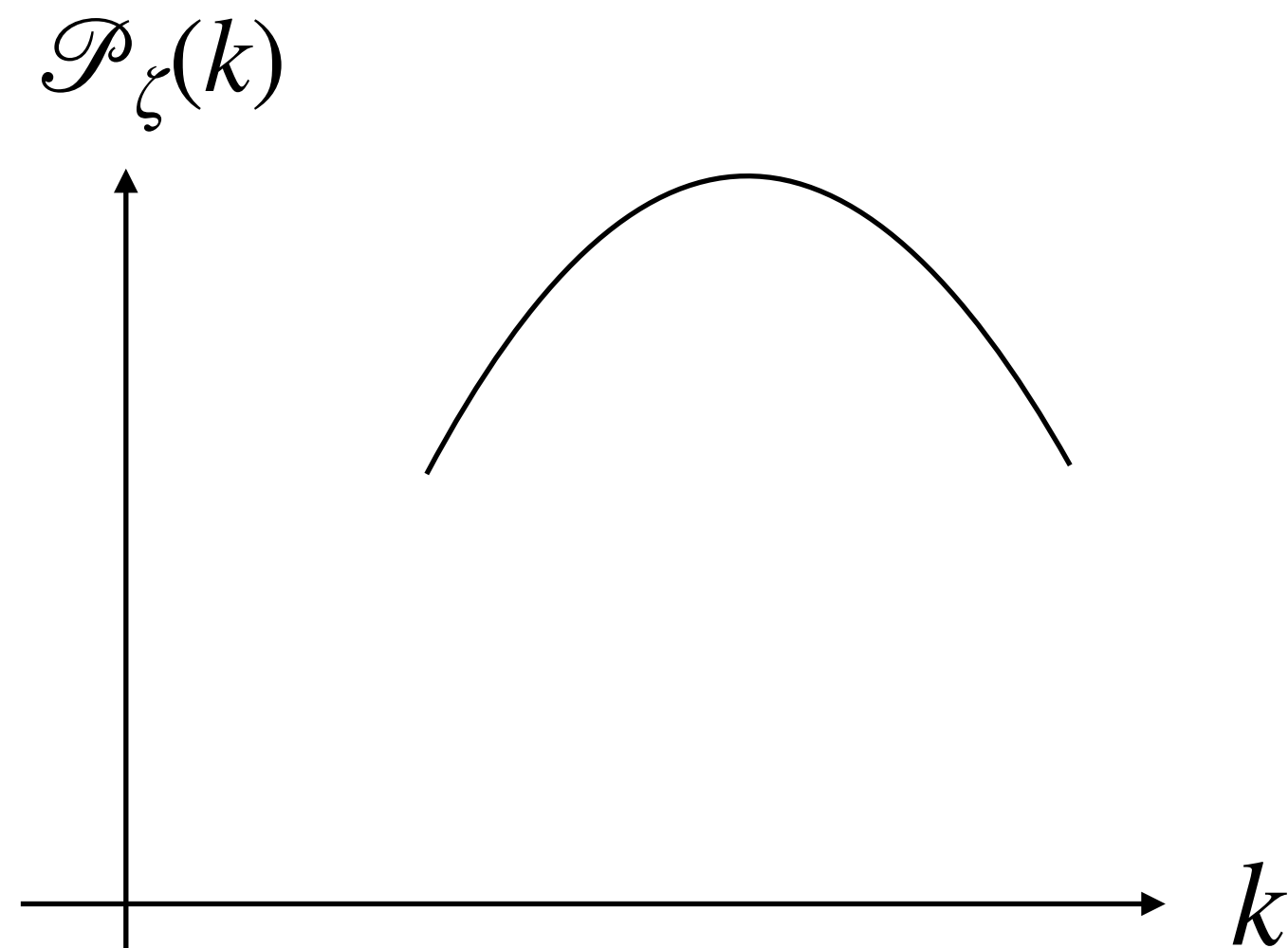
IR tail of the induced GWs

$$\Omega_{\text{GW}}^{\text{ind}}(f) = \Omega_{\text{r}} \left(\frac{g_*(f)}{g_*^0} \right) \left(\frac{g_{*,s}^0}{g_{*,s}(f)} \right)^{4/3} \bar{\Omega}_{\text{GW}}^{\text{ind}}(f)$$

$$\bar{\Omega}_{\text{GW}}^{\text{ind}}(f) = \int_0^\infty dv \int_{|1-v|}^{1+v} du \mathcal{K}(u, v) \mathcal{P}_{\mathcal{R}}(uk) \mathcal{P}_{\mathcal{R}}(vk)$$

$$\mathcal{K}(u, v) = \frac{3(4v^2 - (1 + v^2 - u^2)^2)^2 (u^2 + v^2 - 3)^4}{1024 u^8 v^8} \left[\left(\ln \left| \frac{3 - (u+v)^2}{3 - (u-v)^2} \right| - \frac{4uv}{u^2 + v^2 - 3} \right)^2 + \pi^2 \Theta(u + v - \sqrt{3}) \right]$$

[Espinosa, Racco, Riotto, 1804.27732]
[Kohri, Terada, 1804.08577]



[Cai, Pi, Sasaki, 1909.13728]

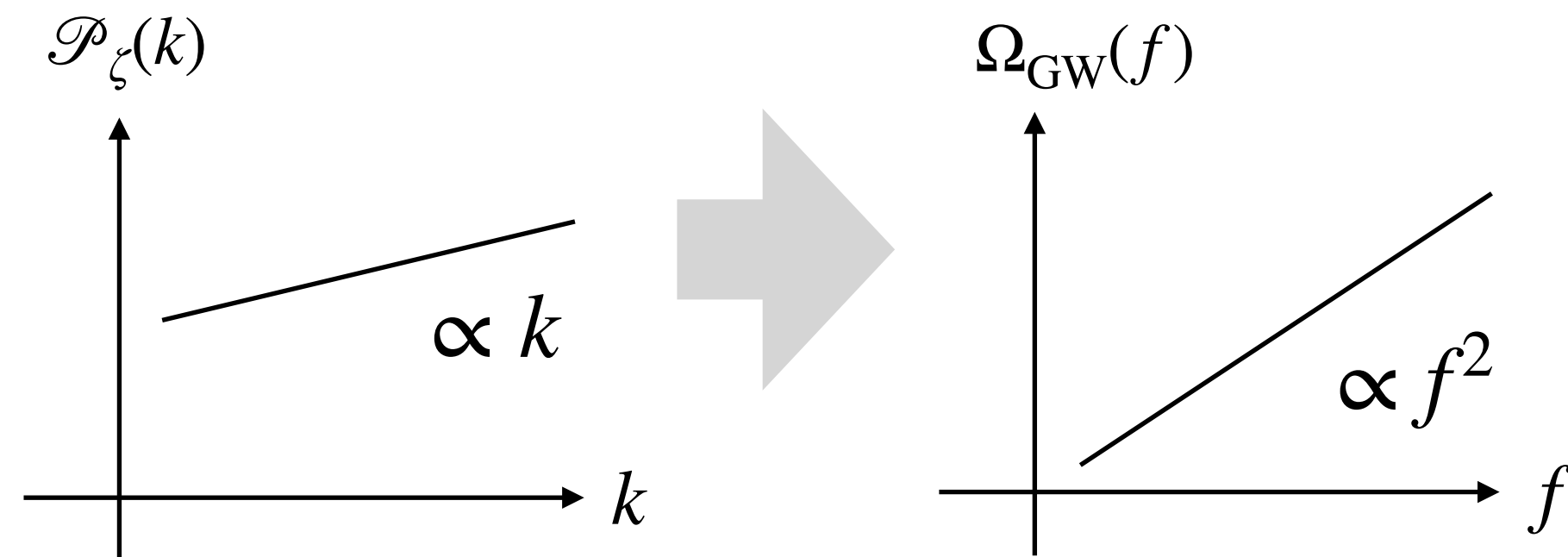
[Yuan, Chen, Huang, 1910.09099]

[Domènech, Pi, Sasaki, 2005.12314]

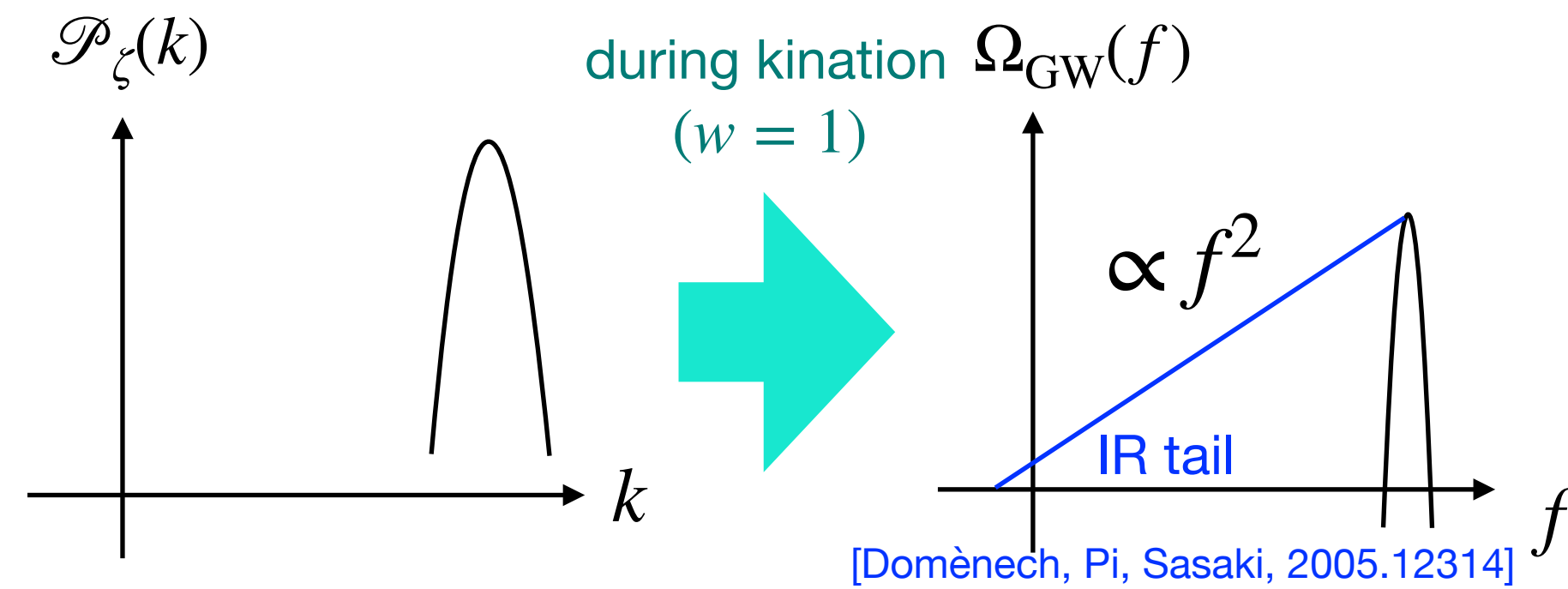
Explaining $\Omega_{\text{GW}} \propto f^2$ Scaling

[Inomata, Kohri, Terada, 2306.17834]

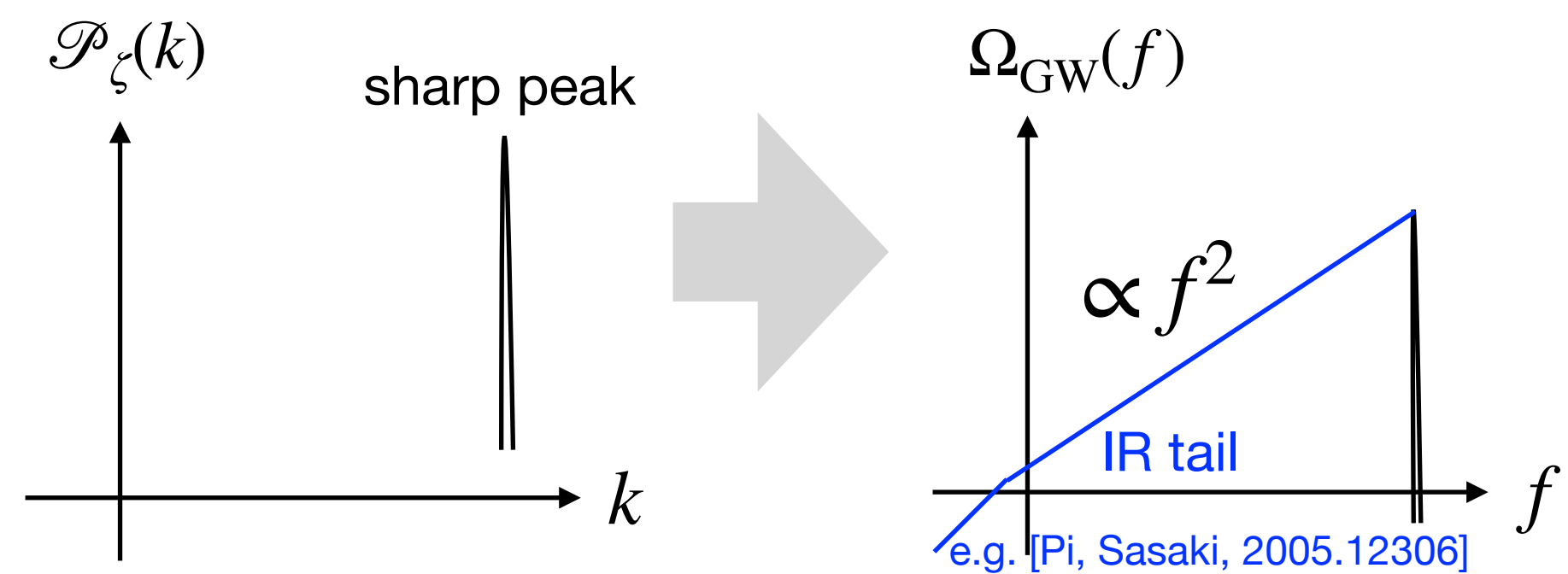
Possibility 1



Possibility 2



Possibility 3



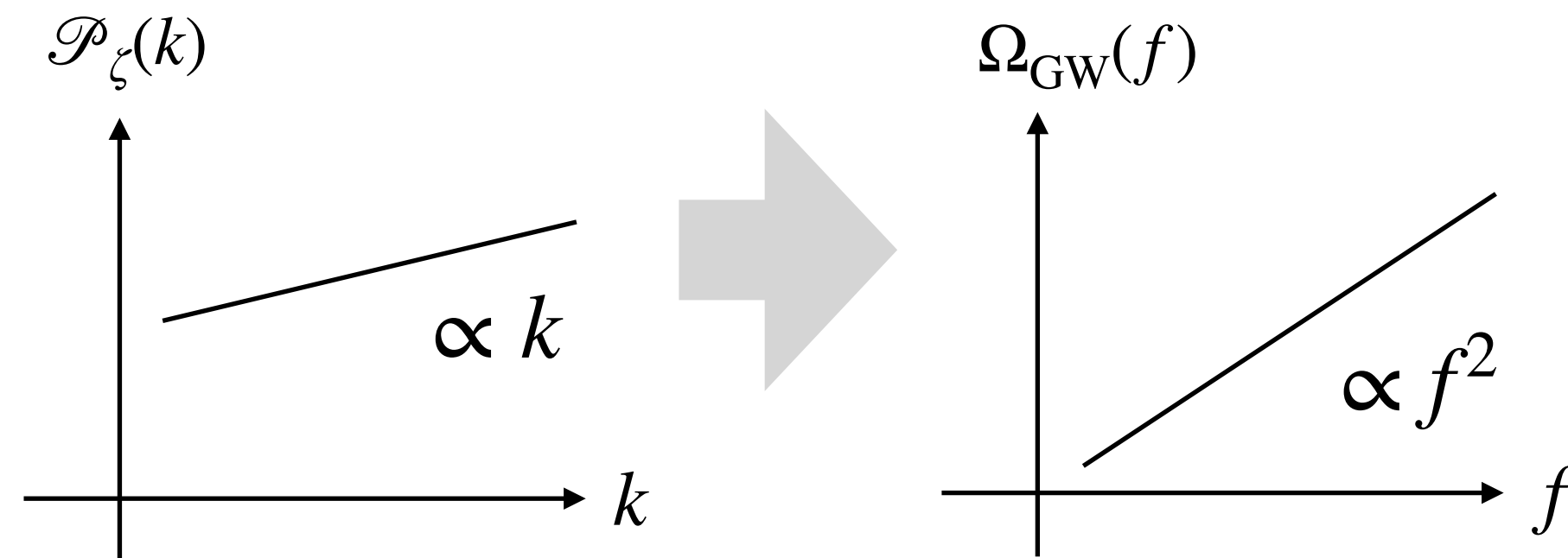
[Harigaya, Inomata, Terada, 2309.00228]

[Inomata, Kohri, Terada, 2306.17834]

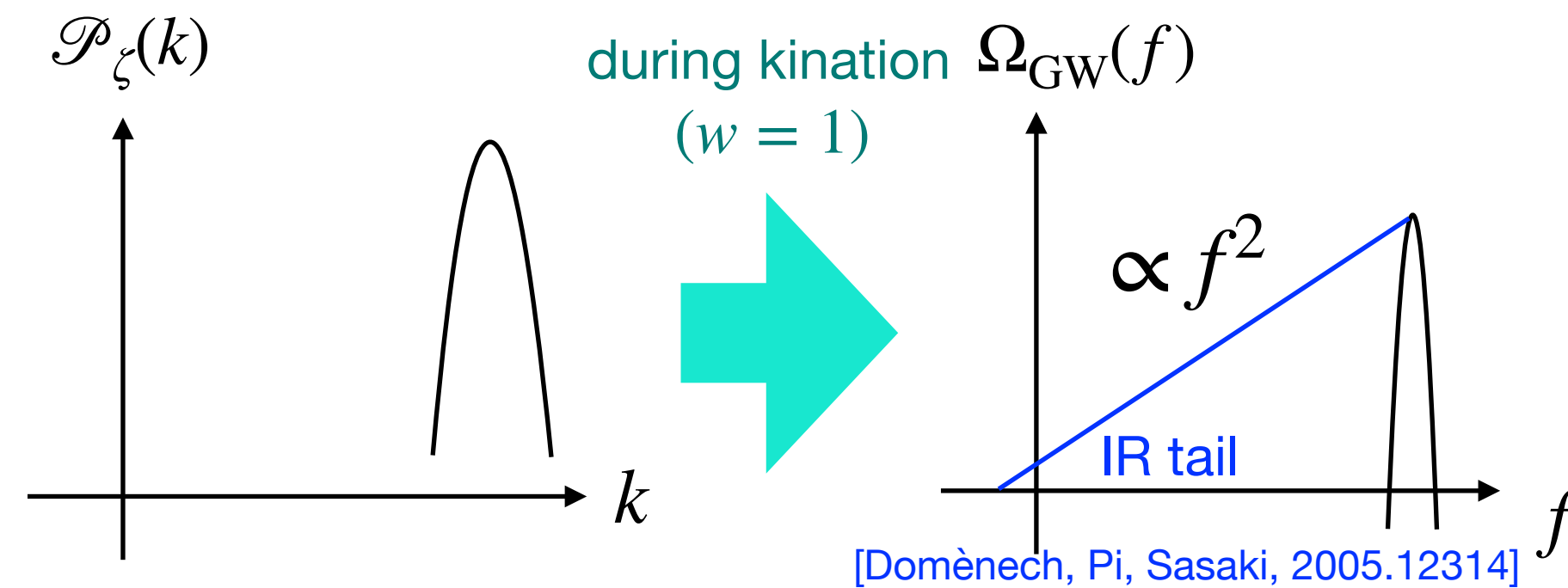
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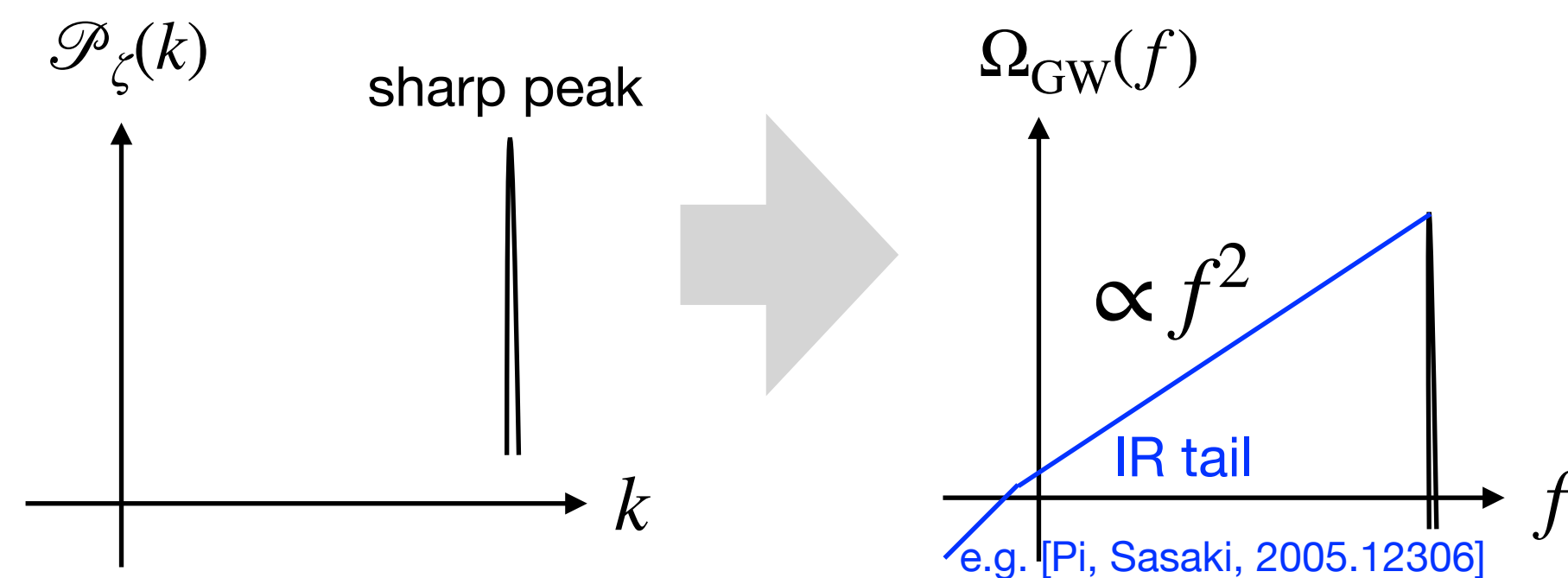
Possibility 1



Possibility 2



Possibility 3



The peak is on a **smaller scale** than the PTA range.

→ **SUB-solar mass PBHs**

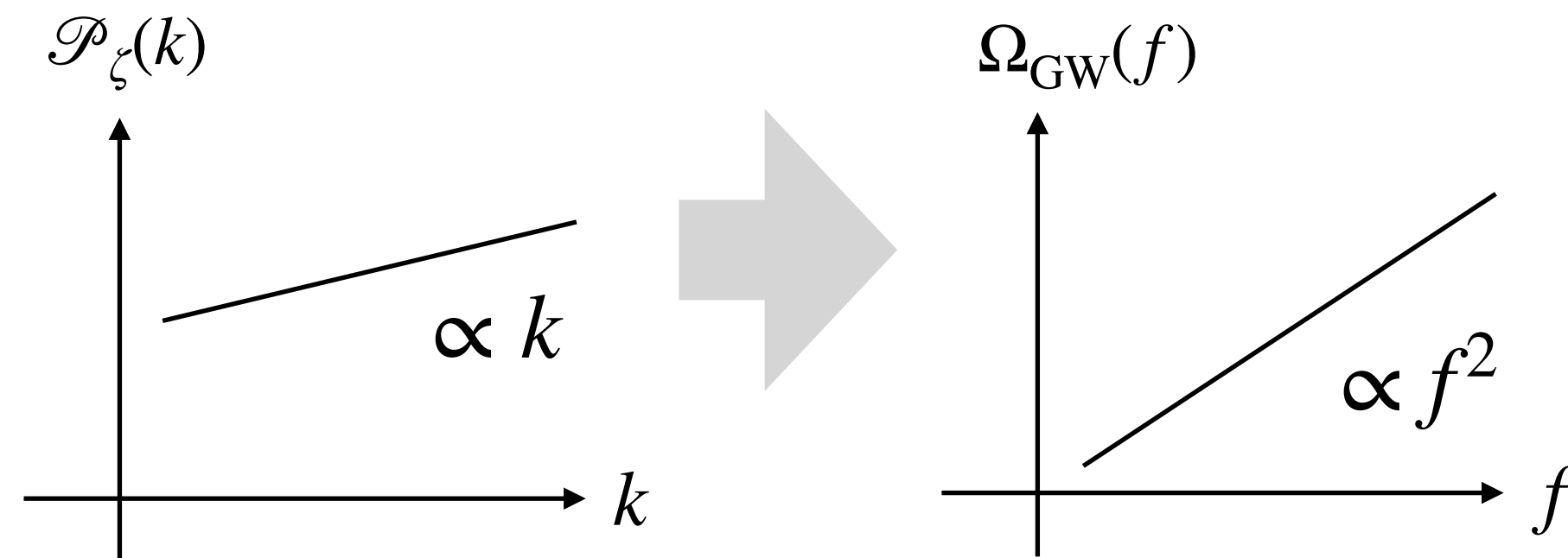
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[Inomata, Kohri, Terada, 2306.17834]

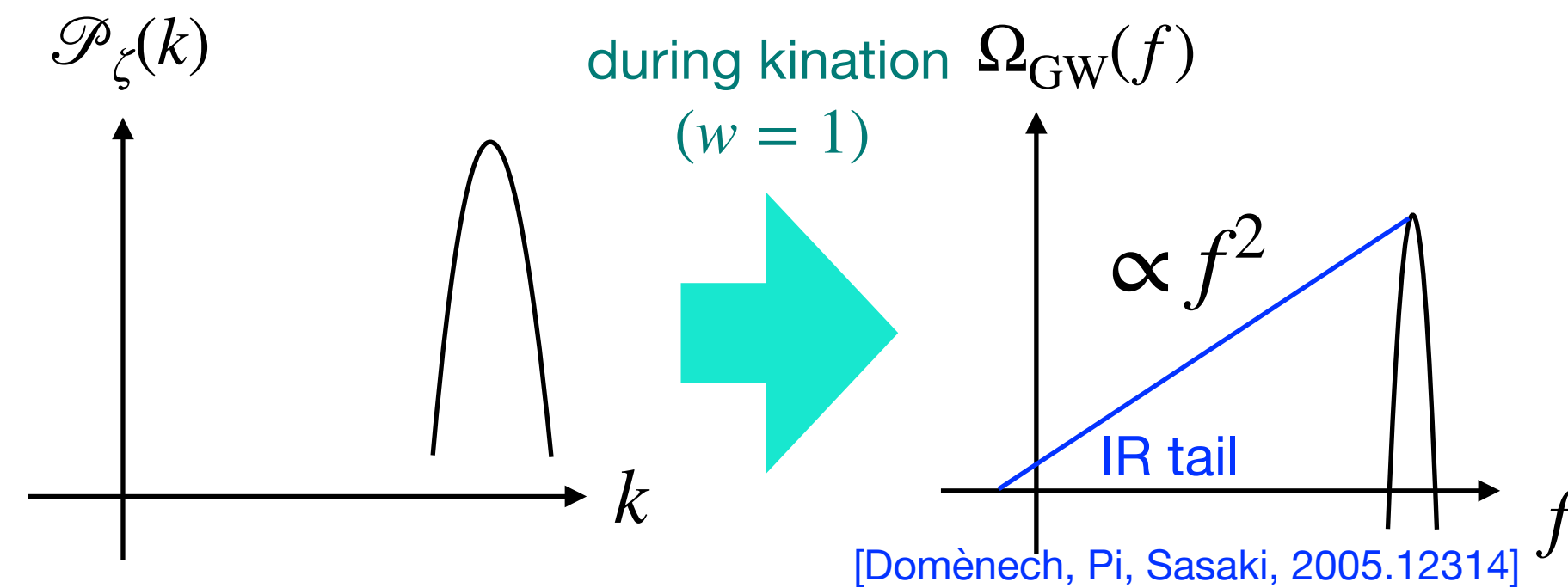
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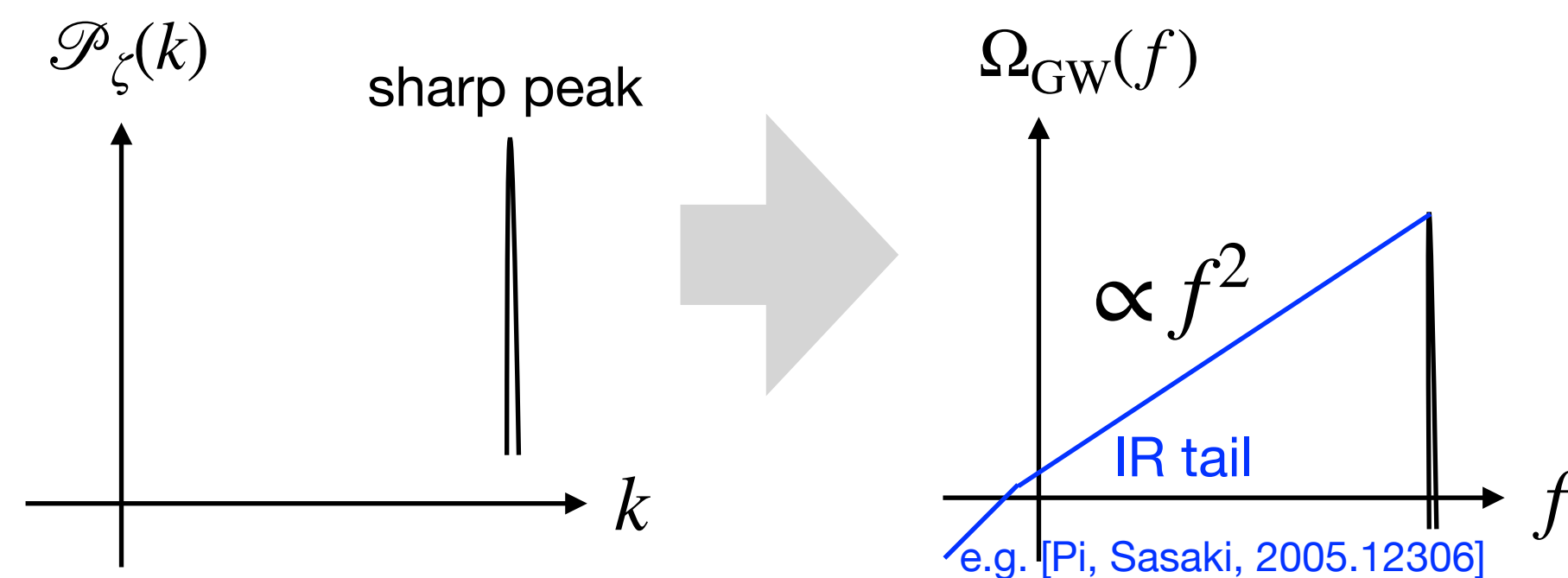
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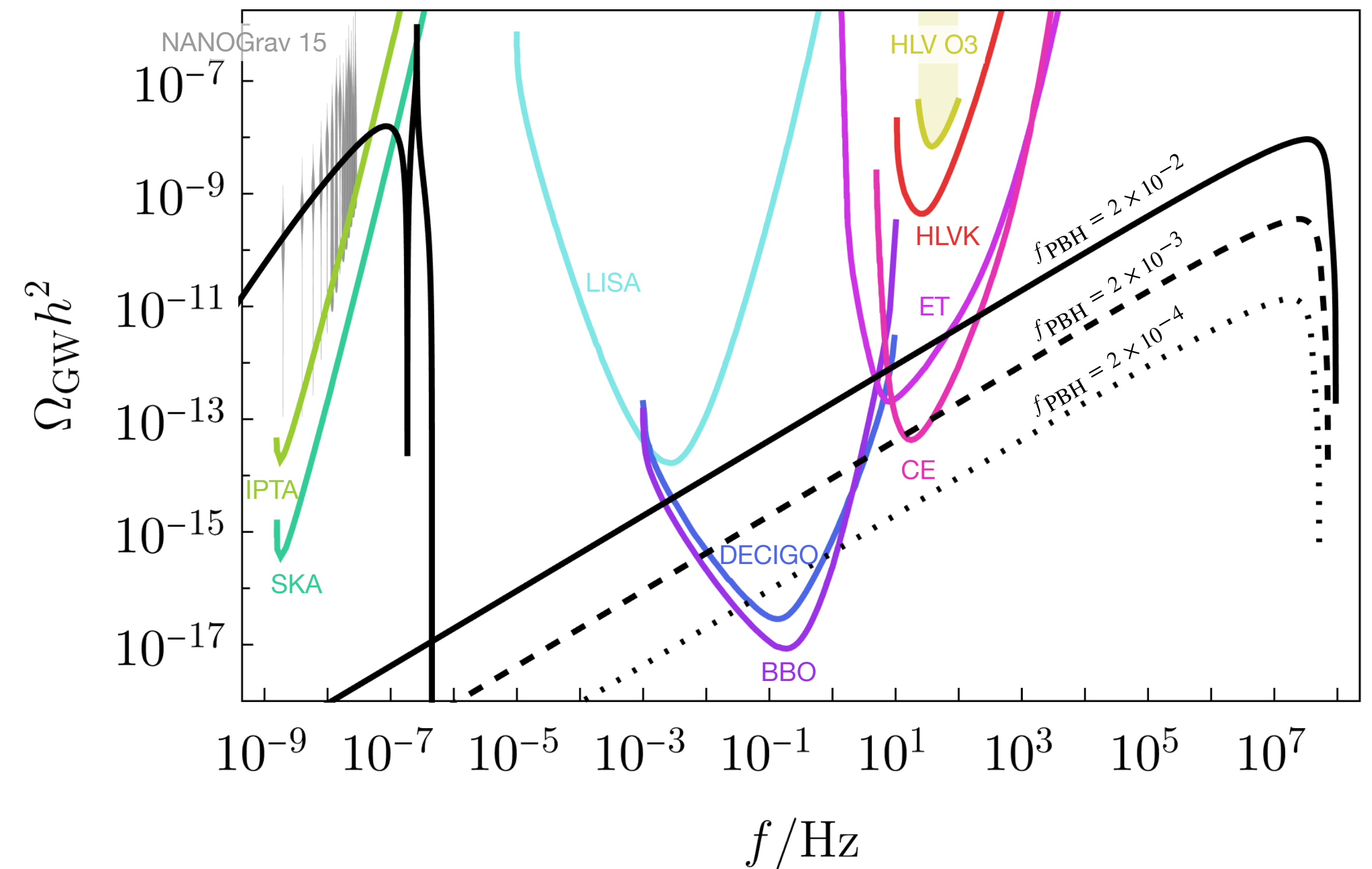
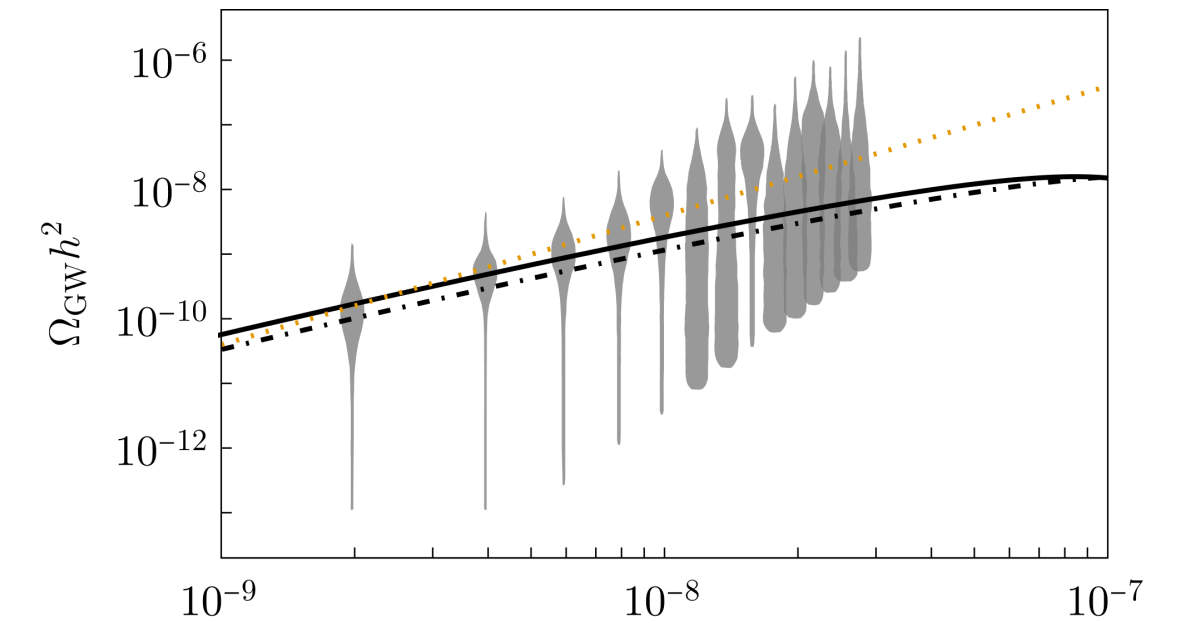
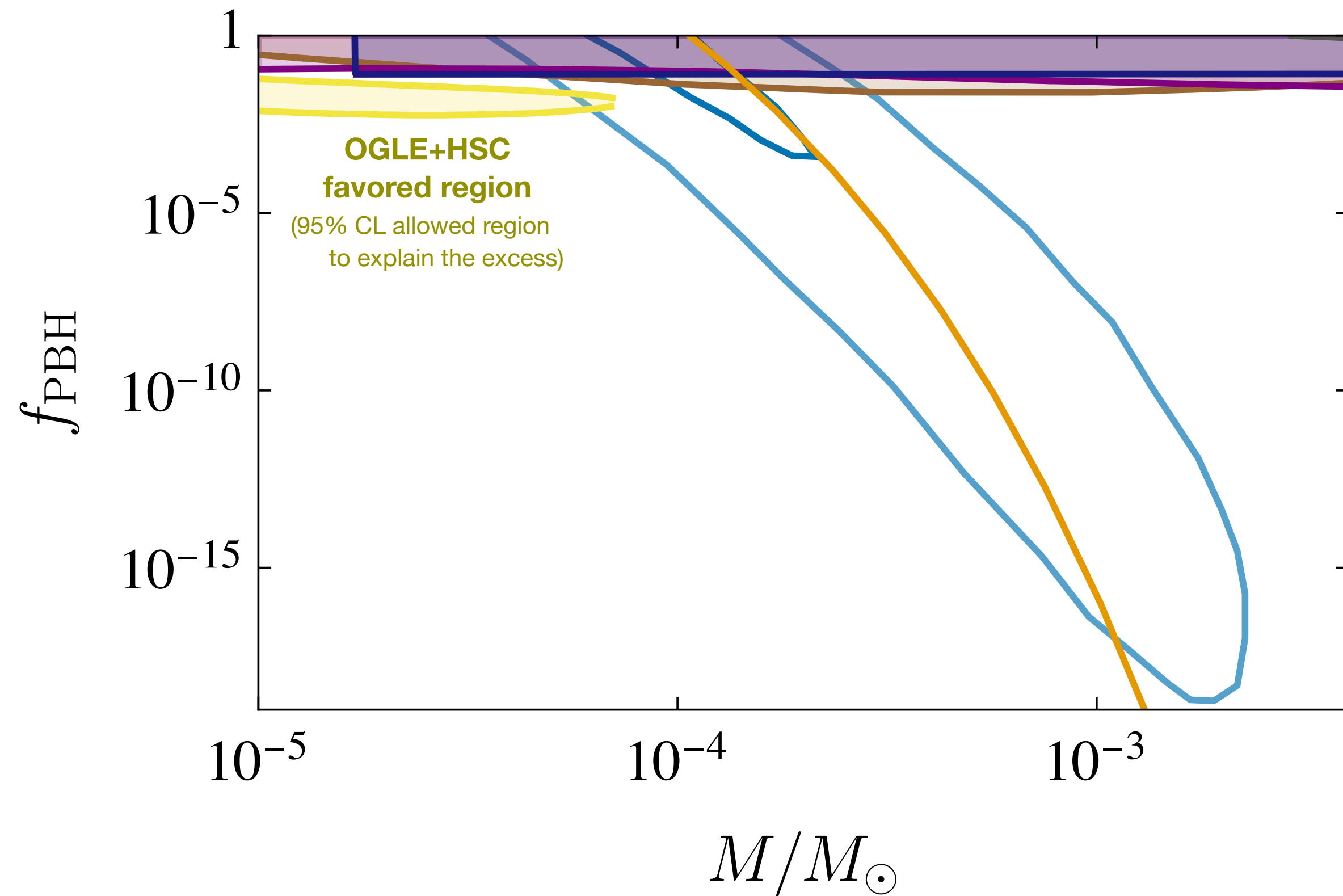
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Implications for Primordial Black Holes

[Inomata, Kohri, Terada, 2306.17834]
 See also [Franciolini et al., 2306.17149]

The excess events of microlensing at OGLE: [Mróz et al., 1707.07634]
 Interpretation by PBHs: [Niikura et al., 1901.07120]



$M/M_{\odot} = 1.2 \times 10^{-4}, 1.6 \times 10^{-4}, \text{ and } 2.2 \times 10^{-4}$ from top to bottom.

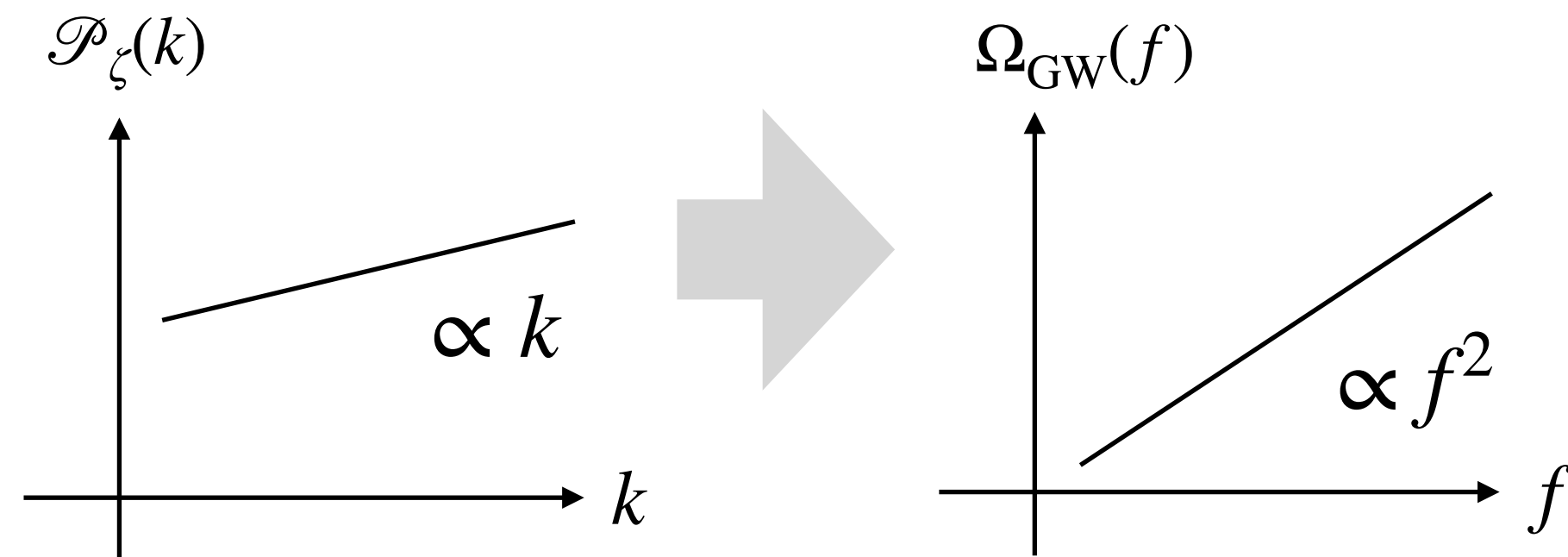
The sensitivity curves were taken from [Schmitz, 2002.04615].

The HLV O3 constraint is from [Abbott et al. (LIGO-Virgo-KAGRA), 2101.12130].

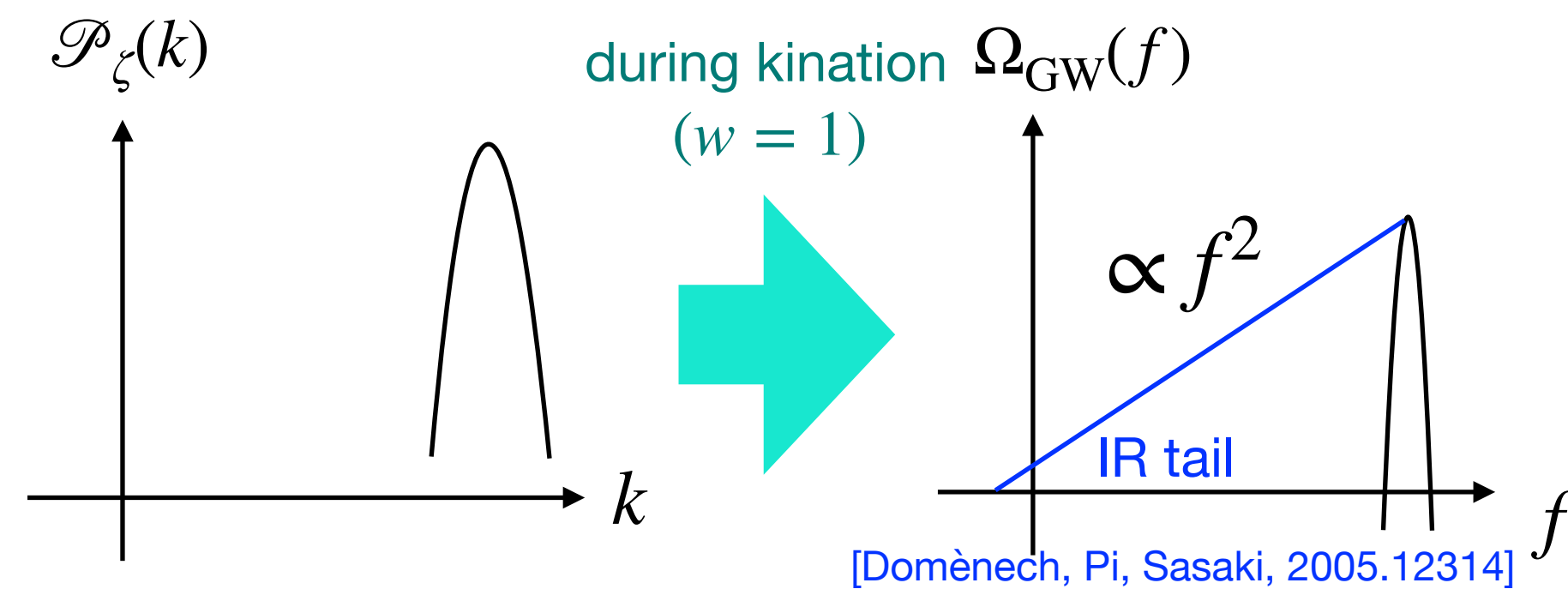
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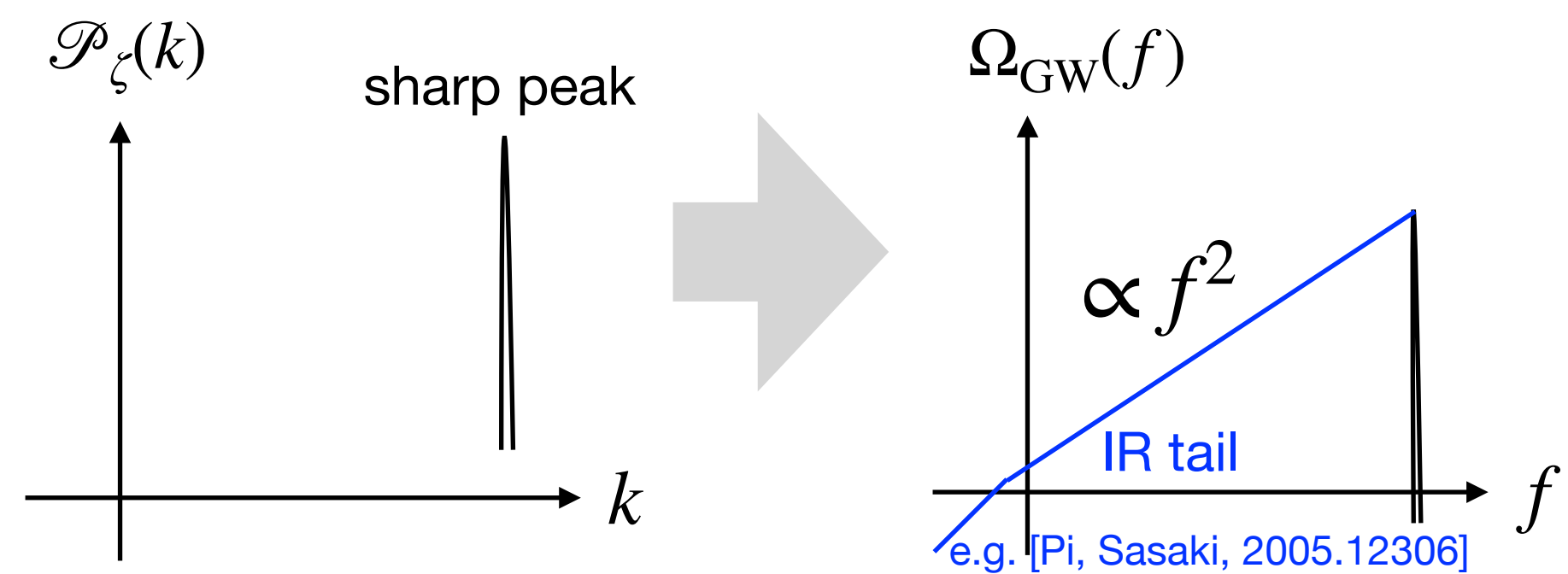
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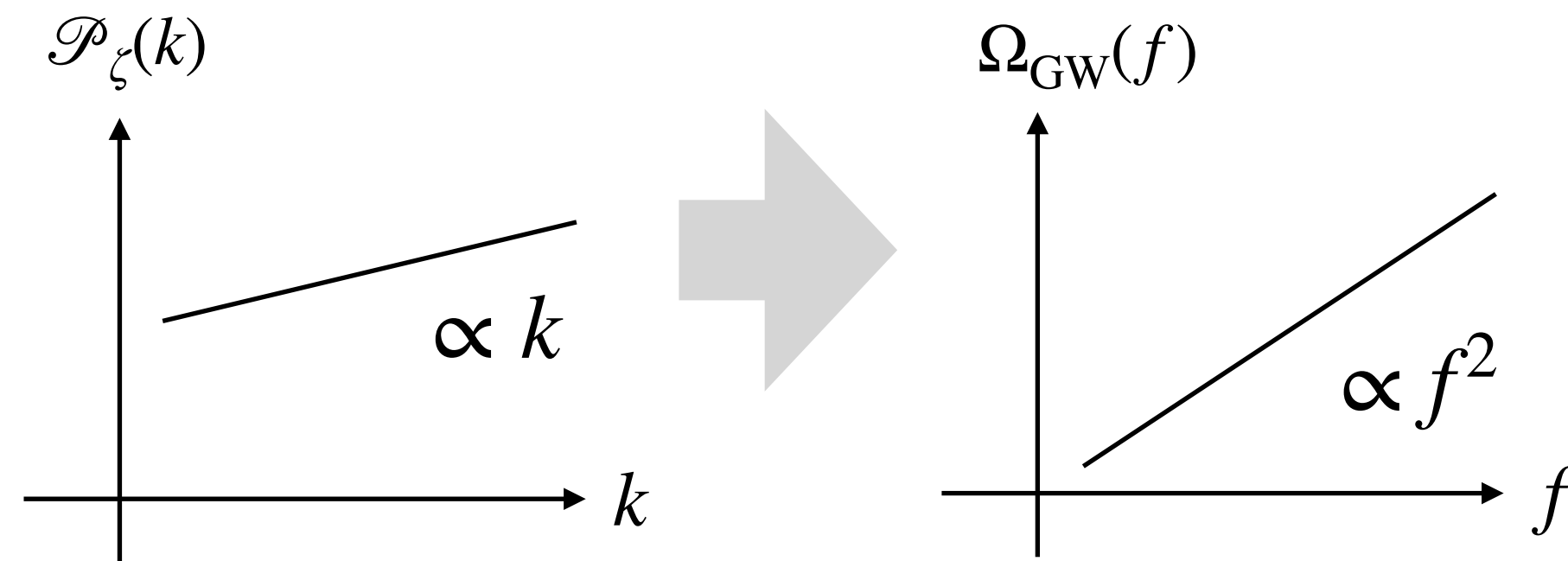
[Harigaya, Inomata, Terada, 2309.00228]

[Inomata, Kohri, Terada, 2306.17834]

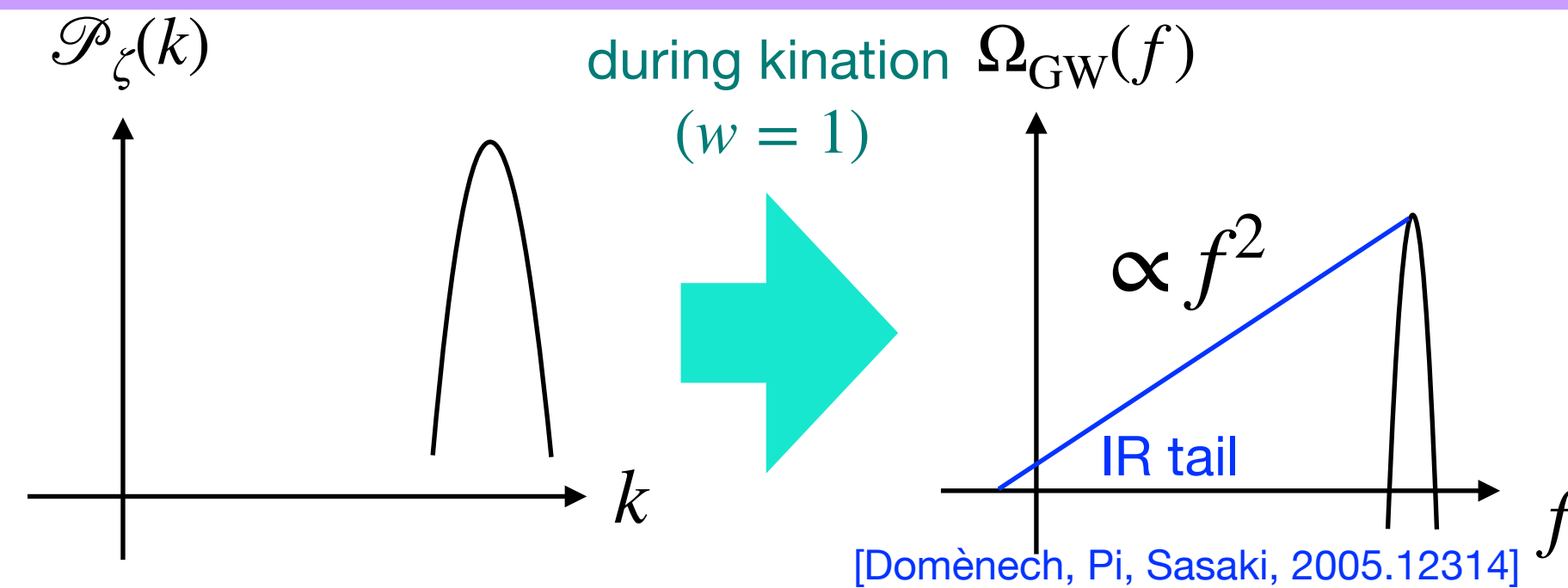
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Possibility 1



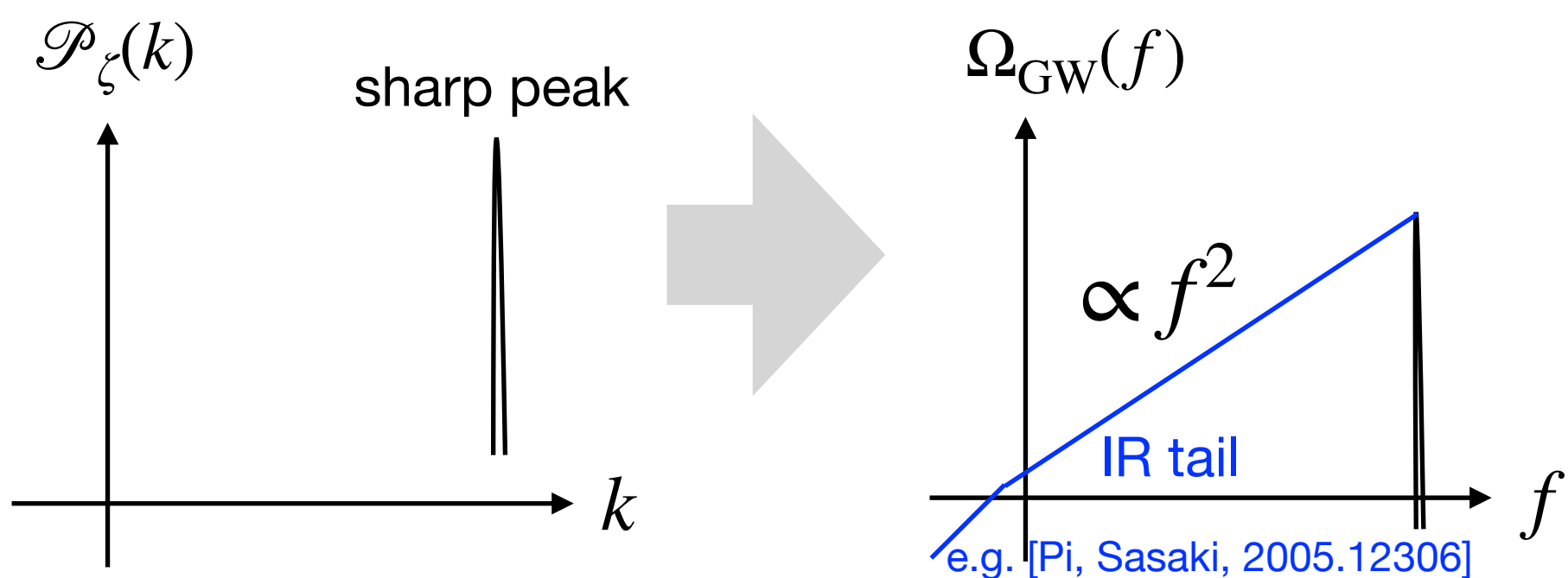
Possibility 2



[Harigaya, Inomata, Terada, 2309.00228]

[Domènech, Pi, Sasaki, 2005.12314]

Possibility 3



[Inomata, Kohri, Terada, 2306.17834]

e.g. [Pi, Sasaki, 2005.12306]

f^2 Spectrum in the Kination Scenario

$$w := \frac{P}{\rho} = 1 \quad \rho \propto a^{-6}$$

- Growth factor for superhorizon modes from growing subhorizon density perturbations

The source term decreasing slower than the Hubble scale

an additional factor of $\left(\frac{a(k)}{a_{\text{fixed}}}\right)^4 \sim f^{-2}$

- Relative redshift factor for subhorizon modes during kination

an additional factor of $\left(\frac{a_{\text{fixed}}}{a(k)}\right)^2 \sim f$

During an era with $w = 1$,

$$2\pi f = k = \mathcal{H} \propto a^{-2},$$

$$a \propto \eta^{1/2},$$

η : conformal time

\mathcal{H} : conformal Hubble parameter

Multiplying the above factors to the standard one (f^3), we obtain $f^3 \cdot f^{-2} \cdot f = f^2$.

More generally, it nontrivially depends on the equation-of-state parameter w :

$$\Omega_{\text{GW}}(f) \sim f^{3-2(1-3w)/(1+3w)}$$

for the IR tail part of the spectrum.

[Domènech, Pi, Sasaki, 2005.12314]

Induced GW scenario with kination

$$w := \frac{P}{\rho} = 1 \quad \rho \propto a^{-6}$$

The PBH abundance is exponentially suppressed compared to the standard scenario.

$$f_{\text{PBH}} \equiv \frac{\rho_{\text{PBH}}}{\rho_{\text{DM}}} \sim \exp\left(-\frac{\delta_c^2}{2\mathcal{P}_\zeta(k(M))}\right)$$

1. Smaller curvature perturbation is required to fit the PTA data.
This is because the GW fraction is enhanced during kination.

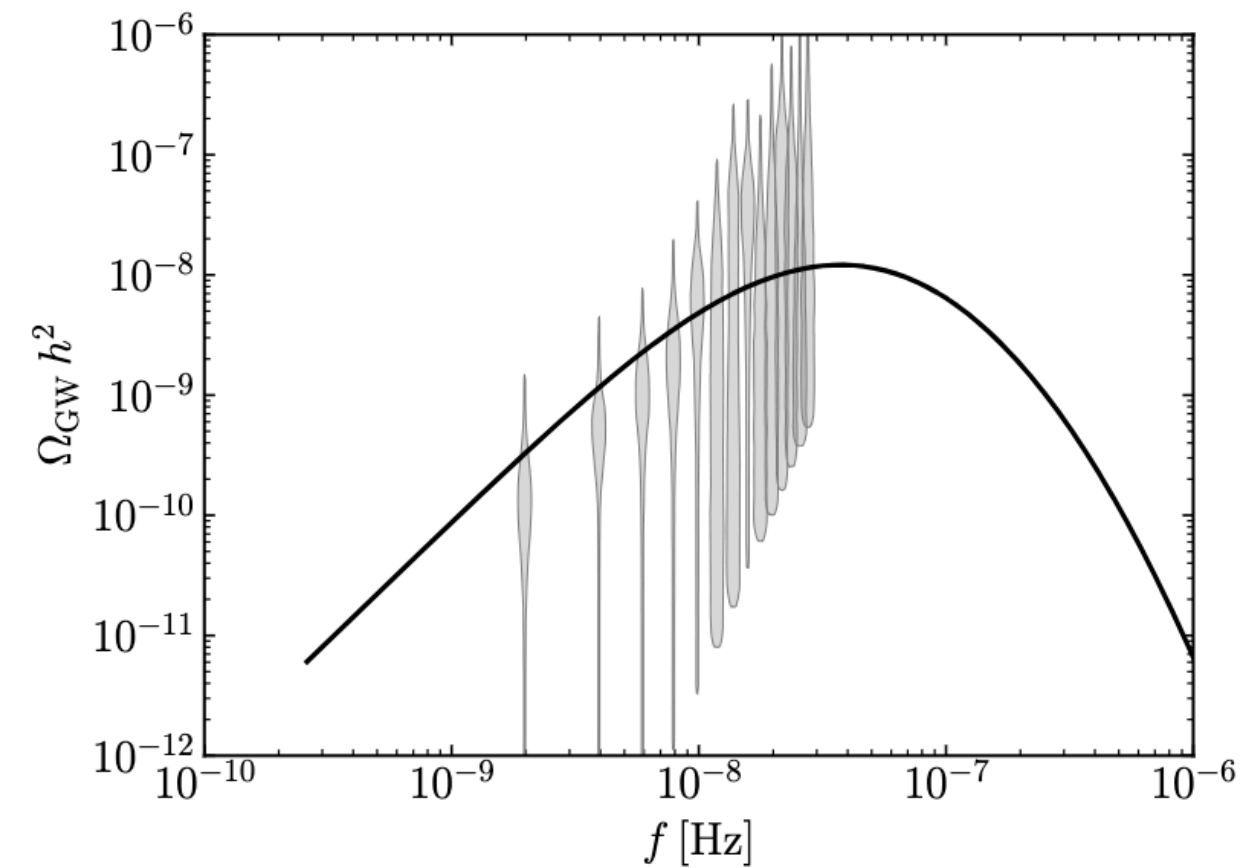
$$\Omega_{\text{GW}} \propto a^2$$

2. It will be harder for a PBH to form during kination.

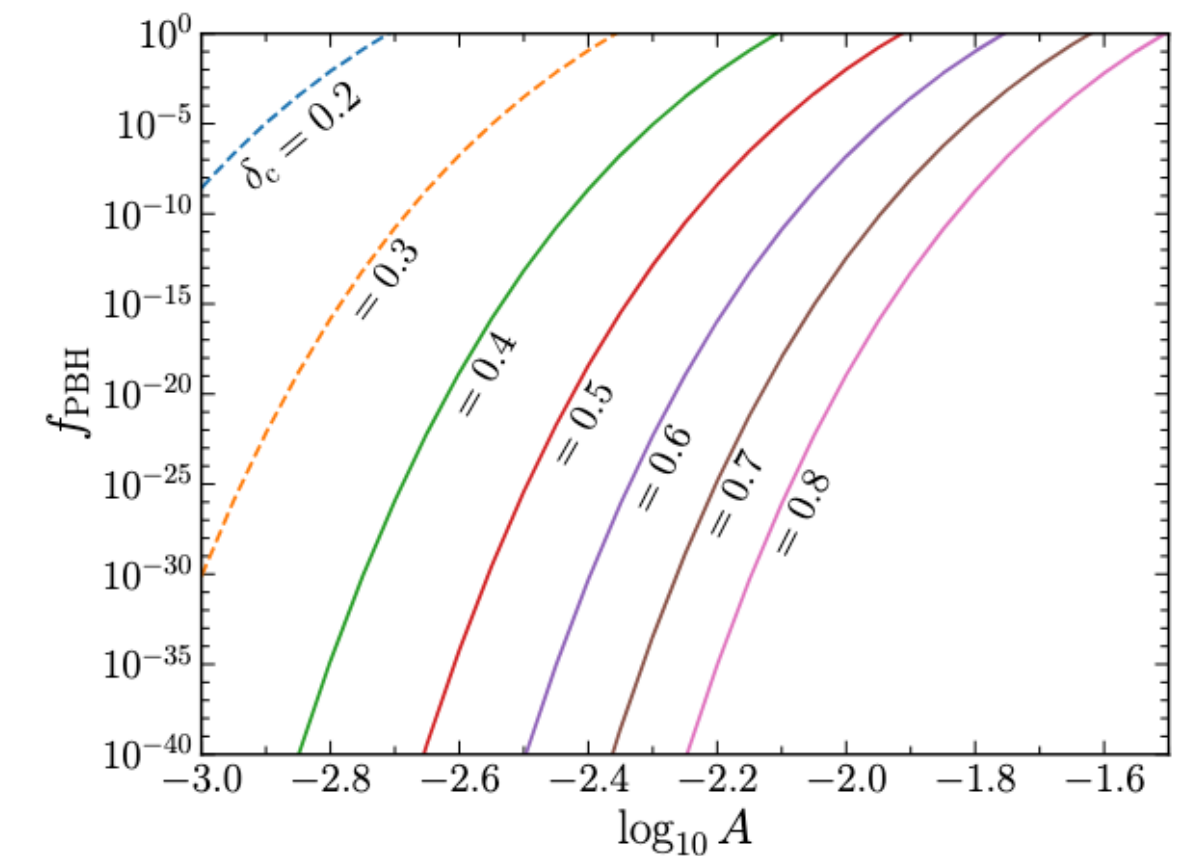
$$\delta_c \approx 0.4 - 0.75$$

See, e.g., [Escrivà et al., 2007.05564] and references therein.

Example GW spectrum



PBH abundance



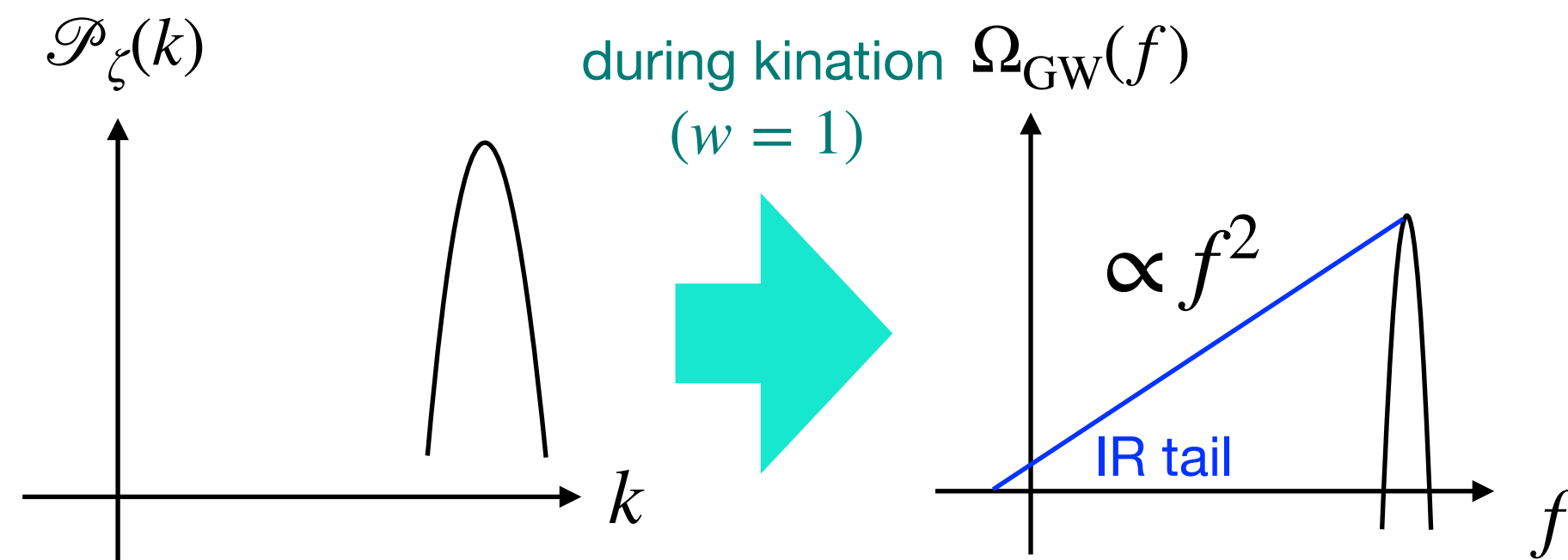
The PTA data can be fit without PBH overproduction.

[Harigaya, Inomata, Terada, 2309.00228]

See also [Balaji et al., 2307.08552] for a similar scenario.

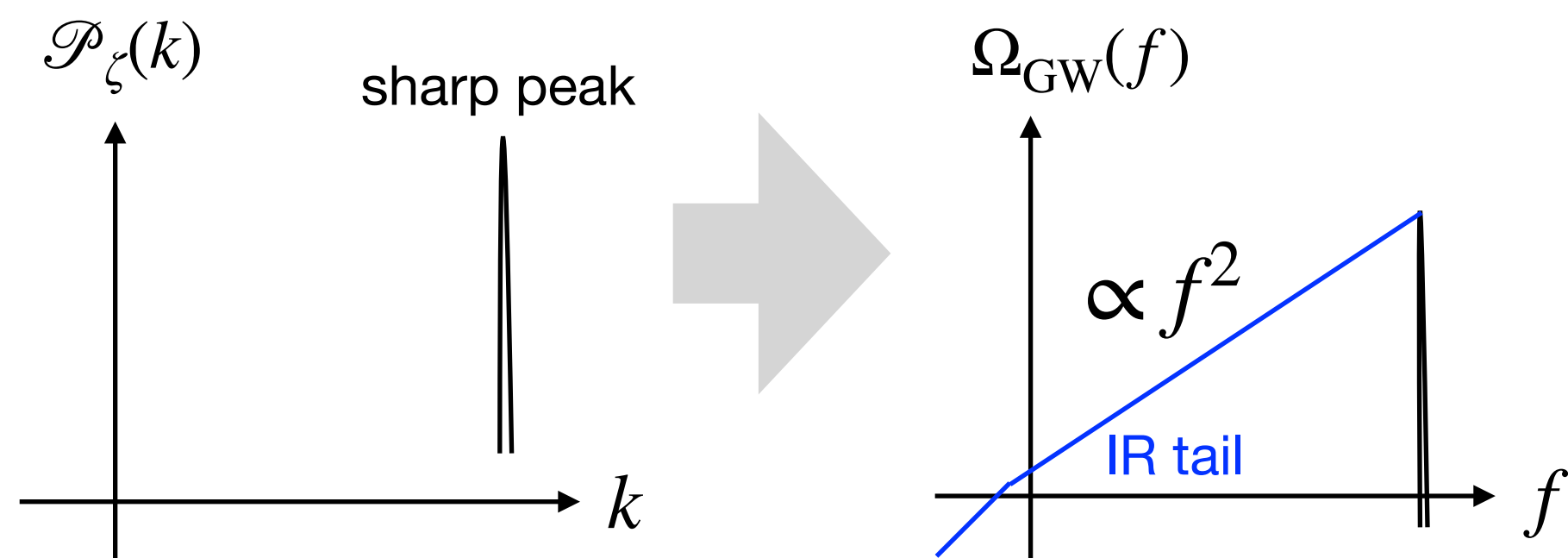
Summary and Conclusion

The PTA data may be indicating $\Omega_{\text{GW}} \propto f^2$ spectrum, which can be interpreted in terms of (the IR tail of) the scalar-induced GWs.



[Harigaya, Inomata, Terada, 2309.00228]

- Fitting the PTA data well.
- No PBH overproduction.



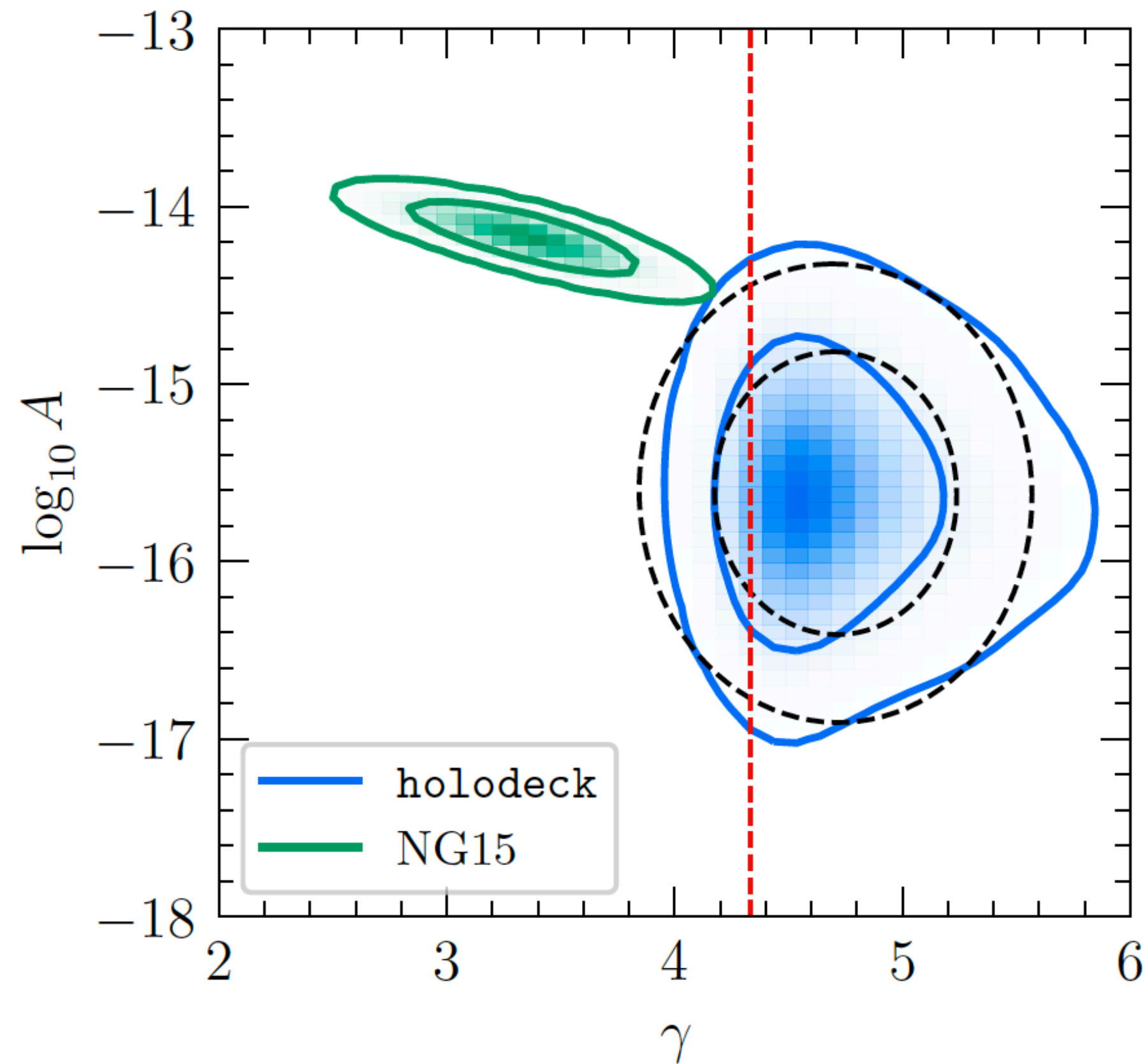
[Inomata, Kohri, Terada, 2306.17834]

- Fitting the PTA data well.
- Associated with $\mathcal{O}(10^{-4}) M_\odot$ PBHs.
 - Their binary mergers lead to additional GW signals.
 - Small parameter region explaining microlensing data too.

Appendix

Astrophysical Interpretation

Supermassive Black Hole Binary Mergers



The simplest model doesn't work well.

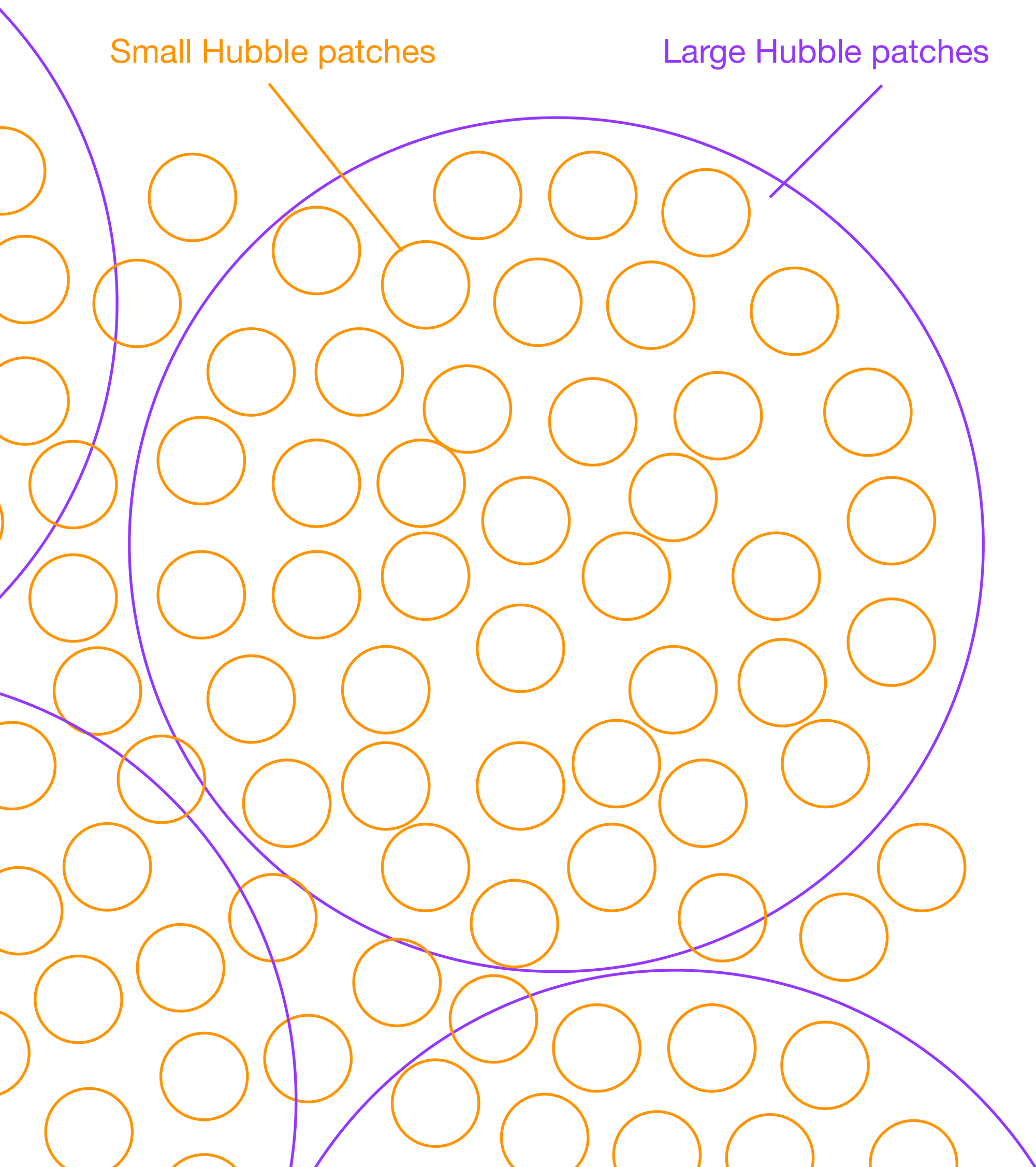
- Circular orbit
- Energy loss only due to GW emission

Interactions with the environment are important.

Universal Infrared f^3 scaling

[Cai, Pi, Sasaki, 1909.13728]

[Hook, Marques-Tavares, Racco, 2010.03568]



- Finite duration of GW generation on subhorizon scales

Central Limit Theorem

$$\mathcal{P}_h(k_L) \propto \frac{1}{N_{\text{patch}}} = \left(\frac{k_L}{k_S}\right)^3$$

- Radiation-dominated era

no further redshift factors

$$\Omega_{\text{GW}}(f) \propto f^3$$

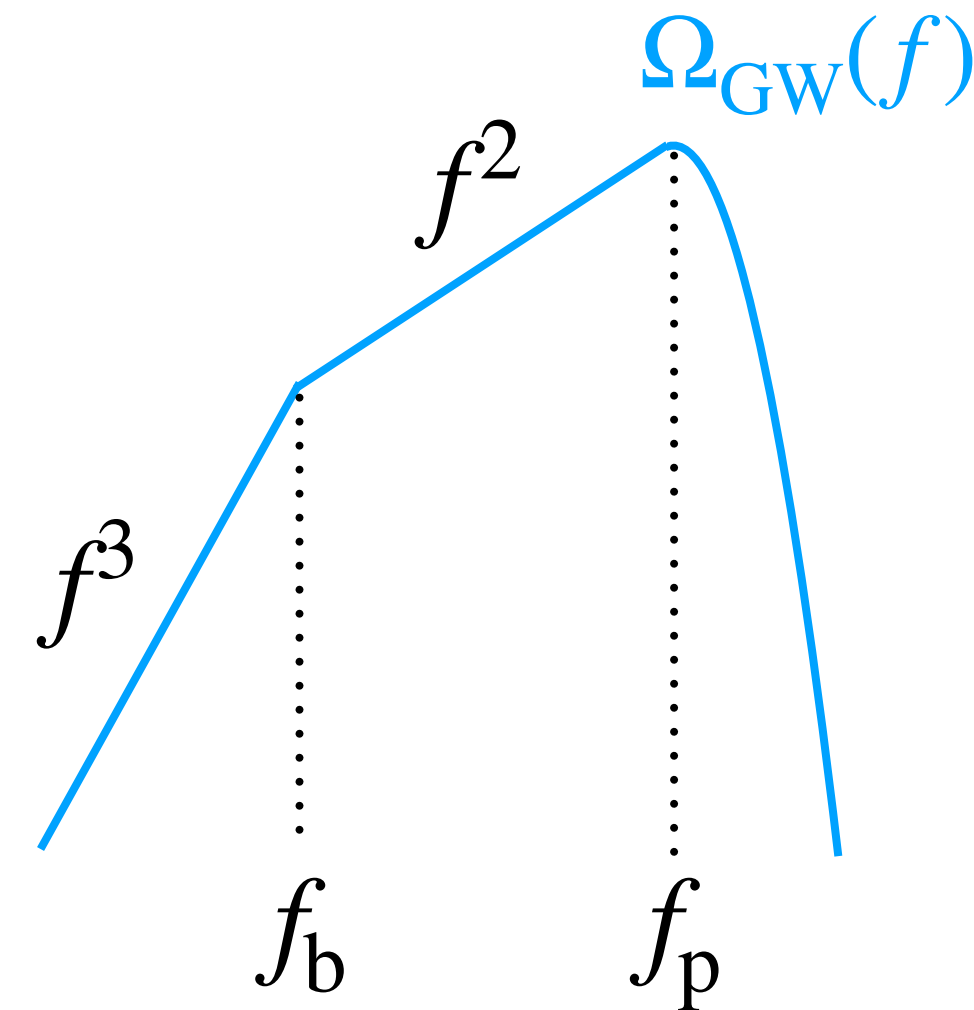
f^2 Spectrum from a sharp peak

An analysis for the lognormal curvature perturbations in [\[Pi, Sasaki, 2005.12306\]](#) is useful.

$$\mathcal{P}_\zeta = \frac{A_\zeta}{\sqrt{2\pi\Delta}} \exp\left(-\frac{\ln^2(k/k_*)}{2\Delta^2}\right)$$

- For a narrow peak: $\Delta \ll 1$

The range of the f^2 part is controlled by Δ .



$$f_p = (2/\sqrt{3}) \times 2\pi k_*$$

$$f_b \approx \sqrt{3}\Delta f_p$$

- For a broad peak: $\Delta \gg 1$

No f^2 tail. Ω_{GW} has a lognormal peak with a width $\Delta/\sqrt{2}$.

Our Recipe for a PBH

(in [Inomata, Kohri, Terada, 2306.17834])

We have basically followed the recipe in the NANOGrav-15 paper [Afzal et al. (NANOGrav), 2306.16219], which is relatively simple.

- Carr's formula (a.k.a. the Press-Schechter formalism)
- Critical density $\delta_c = 0.45$
- The ratio between the PBH mass and the horizon mass $\gamma = 0.2$
- The relativistic degrees of freedom $g_* = g_{*,s} = 80$
- The Gaussian window function $W(k) = \exp(-k^2/2)$
- Including the transfer function of the density perturbations
- The nonlinear relation between the curvature and density perturbations has been neglected.
- We have not adopted the effects of the critical collapse.

Studies by other groups

The effects of non-Gaussianity were studied in

[Franciolini, Iovino, Vaskonen, Veermäe, 2306.17149]

[Wang, Zhao, Li, Zhu, 2307.00572]

[Liu, Chen, Huang, 2307.01102]

The effects of softening w and/or c_s were studied in

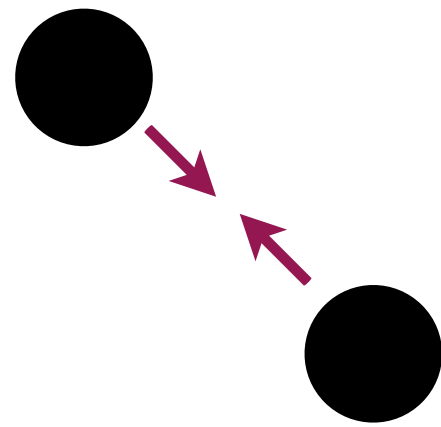
[De Luca, Franciolini, Riotto, 2009.08268]

See also [Franciolini, Racco, Rompineve, 2306.17136], [Abe, Tada, 2307.01653]

PBH overproduction was reported (except from Wang et al.).

GWs from Binary PBH Mergers

Binary formation in the radiation era



[Nakamura, Sasaki, Tanaka, Thorne, 1997]
[Sasaki, Suyama, Tanaka, Yokoyama, 1603.08338]

comoving merger rate

[Sasaki, Suyama, Tanaka, Yokoyama, 1603.08338; 1801.05235]

$$R(z) = \left(\frac{f_{\text{PBH}} \Omega_{\text{CDM}} \rho_c}{M} \right) \frac{dP_t}{dt}$$

$$\frac{dP_t}{dt} = \begin{cases} \frac{3}{58} \left[-\left(\frac{t}{t_0}\right)^{\frac{3}{8}} + \left(\frac{t}{t_0}\right)^{\frac{3}{37}} \right] \frac{1}{t} & \text{for } t < t_c \\ \frac{3}{58} \left(\frac{t}{t_0}\right)^{\frac{3}{8}} \left[-1 + \left(\frac{t}{t_c}\right)^{-\frac{29}{56}} \left(\frac{4\pi}{3} f_{\text{PBH}}\right)^{-\frac{29}{8}} \right] \frac{1}{t} & \text{for } t \geq t_c, \end{cases}$$

$$t_0 = (3/170) \left\{ \bar{x}^4 / [(GM)^3 (4\pi f_{\text{PBH}}/3)^4] \right\}$$

$$t_c = t_0 (4\pi f_{\text{PBH}}/3)^{37/3}$$

$$\bar{x} = [3M / (4\pi \rho_{\text{PBH,eq}})]^{1/3}$$

$$\Omega_{\text{GW}}^{\text{merger}}(f) = \frac{f}{3H_0^2} \int_0^{\frac{f_{\text{cut}}}{f}-1} dz \frac{R(z)}{(1+z)H(z)} \frac{dE_{\text{GW}}}{df_s}$$

Energy spectrum at the source frame

[Ajith et al., 0710.2335] [Ajith et al., 0909.2867]

$$\frac{dE}{df_s} = \frac{(G\pi)^{2/3} M_c^{5/3}}{3} \begin{cases} f_s^{-1/3} & \text{for } f_s < f_1 \\ w_1 f_s^{2/3} & \text{for } f_1 \leq f_s < f_2 \\ w_2 \frac{\sigma^4 f_s^2}{(\sigma^2 + 4(f_s - f_2)^2)^2} & \text{for } f_2 \leq f_s \leq f_3 \\ 0 & \text{for } f_s > f_3 \end{cases}$$

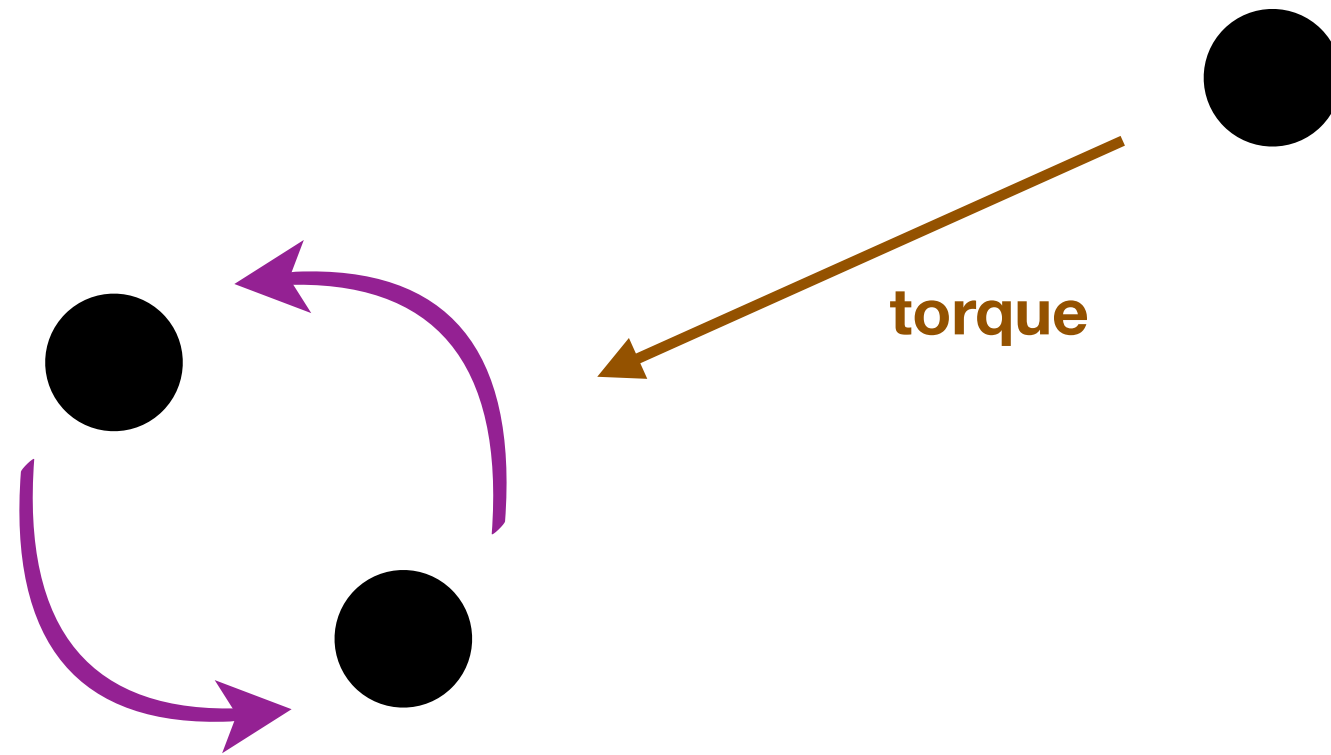
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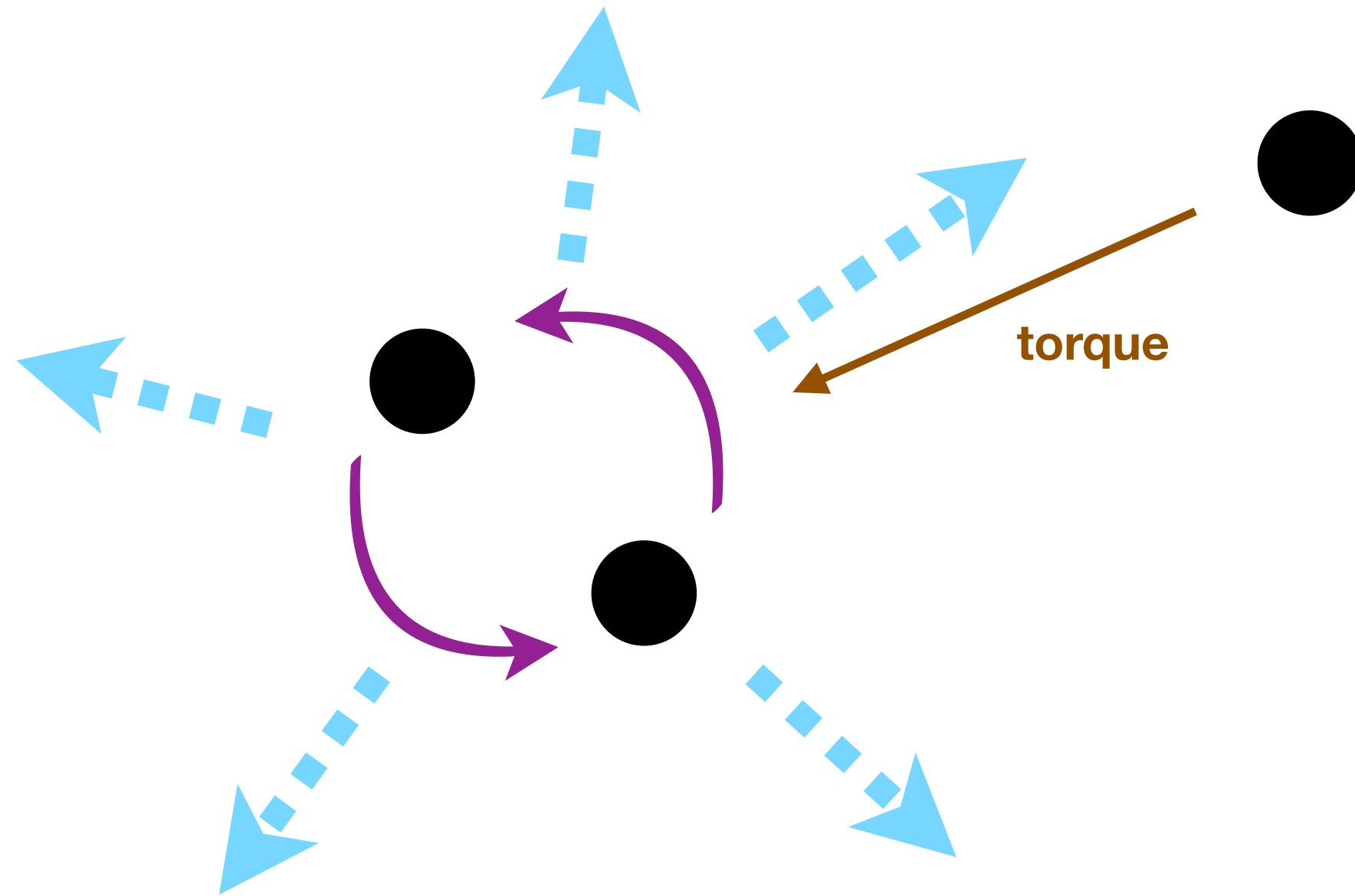
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GWs from Binary PBH Mergers

Binary formation in the radiation era



Binary Black Holes loose energy by emitting **Gravitational Waves**.

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